

ITA - AITES WORLD TUNNEL CONGRESS 2007 PRAGUE



The 3<sup>rd</sup> Training course  
**TUNNELLING IN URBAN AREA**  
Prague, 4-5<sup>th</sup> May 2007

# Mechanized Tunnelling in Urban Areas General

TRAINING MATERIAL PREPARED BY

Prof. Dipl.-Ing. Fritz Gruebl  
University of Applied Science Stuttgart, Germany



ASSOCIATION  
INTERNATIONALE DES TRAVAUX  
EN SOUTERRAIN  
**AITES**



**ITA**  
INTERNATIONAL  
TUNNELLING  
ASSOCIATION



**1**

**Introduction (Markus Thewes)**

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**2**

**TBM Types (Markus Thewes)**

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**3**

**Risks (Markus Thewes)**

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**4**

**Execution of TBM Drives (Fritz Gruebl)**

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**5**

**Lining behind TBMs (Fritz Gruebl)**

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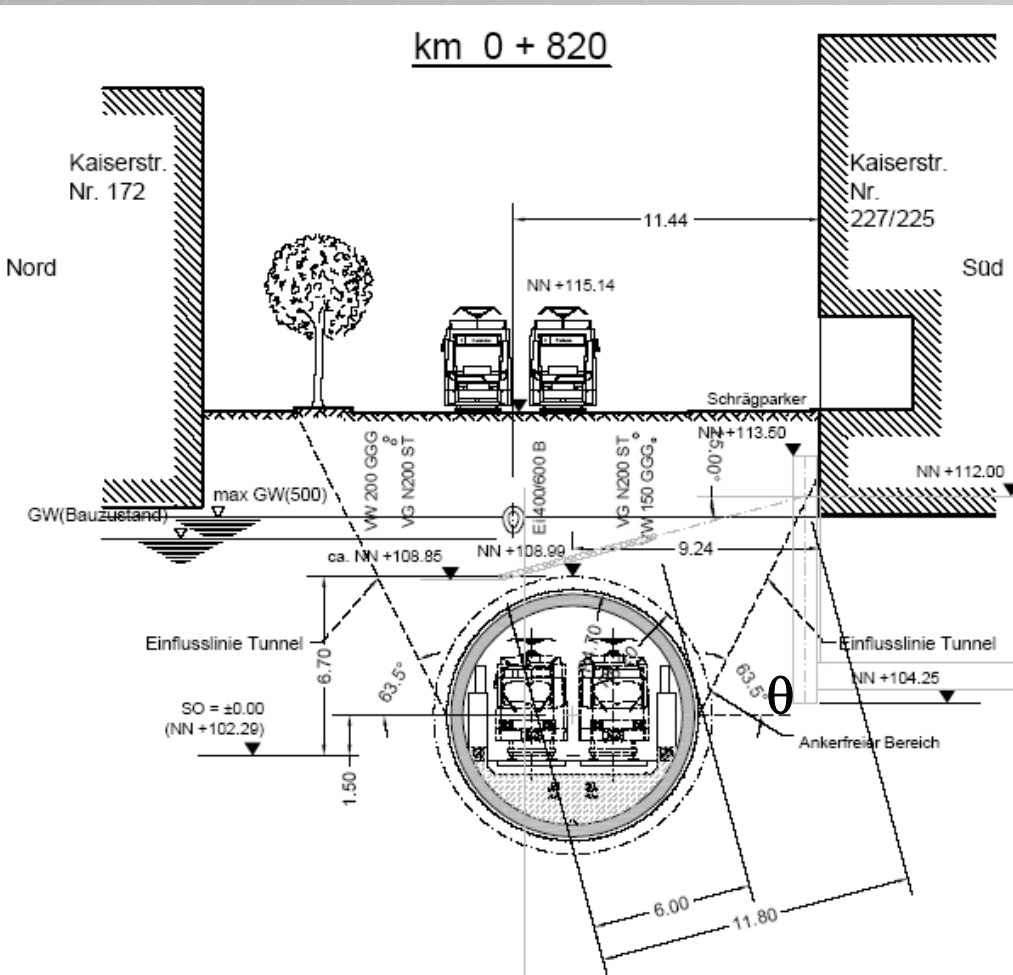
# 4

## Execution of TBM Drives (20 min)

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- Influence of TBM drives on Neighbour Buildings
- Face Stabilisation
- Precalculation of the necessary Face Pressure
- Survey during TBM drives and Guidance Systems

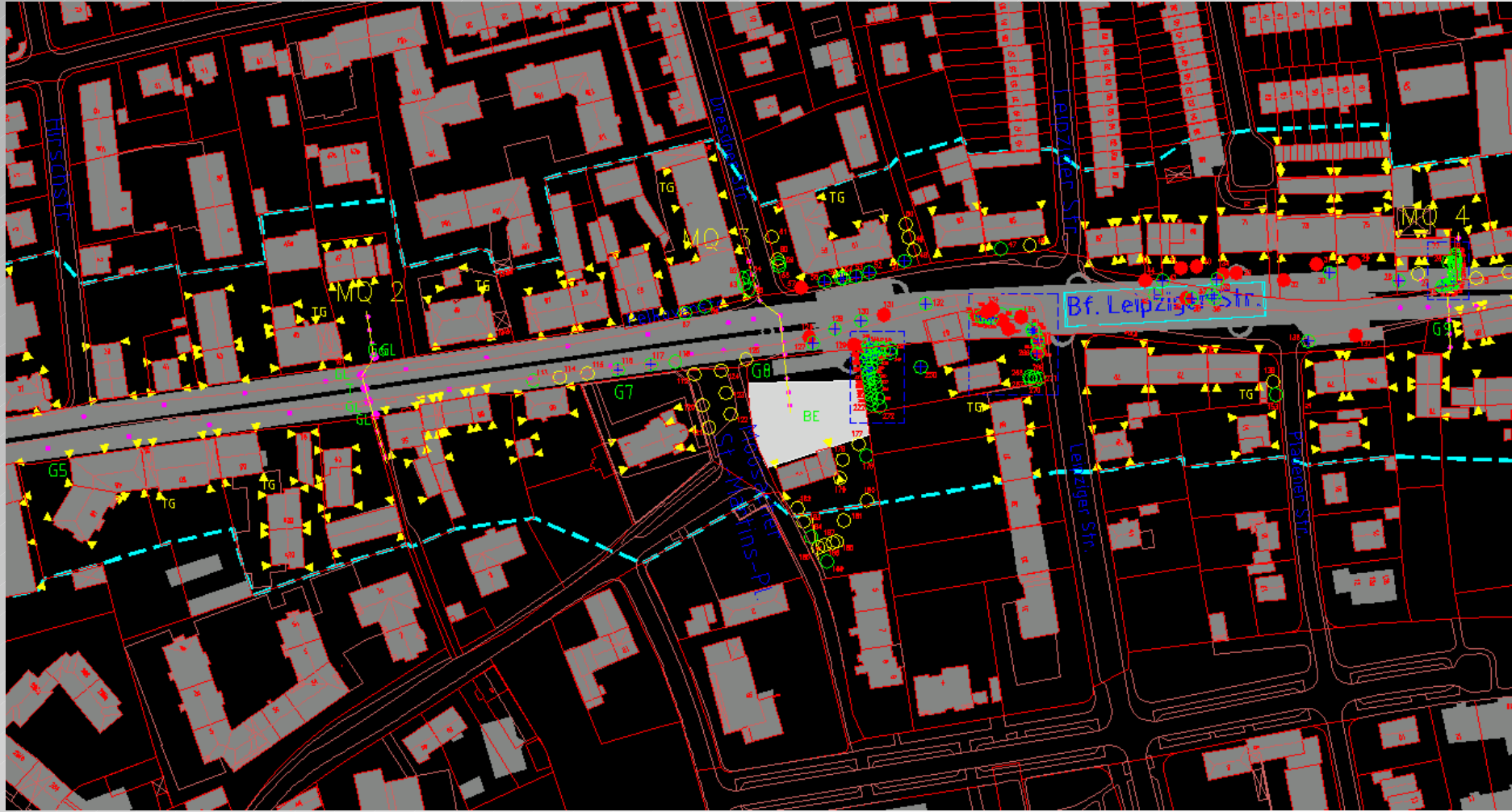
# Influence on the neighbour buildings



Calculation of the angle of influence  $\theta$ :

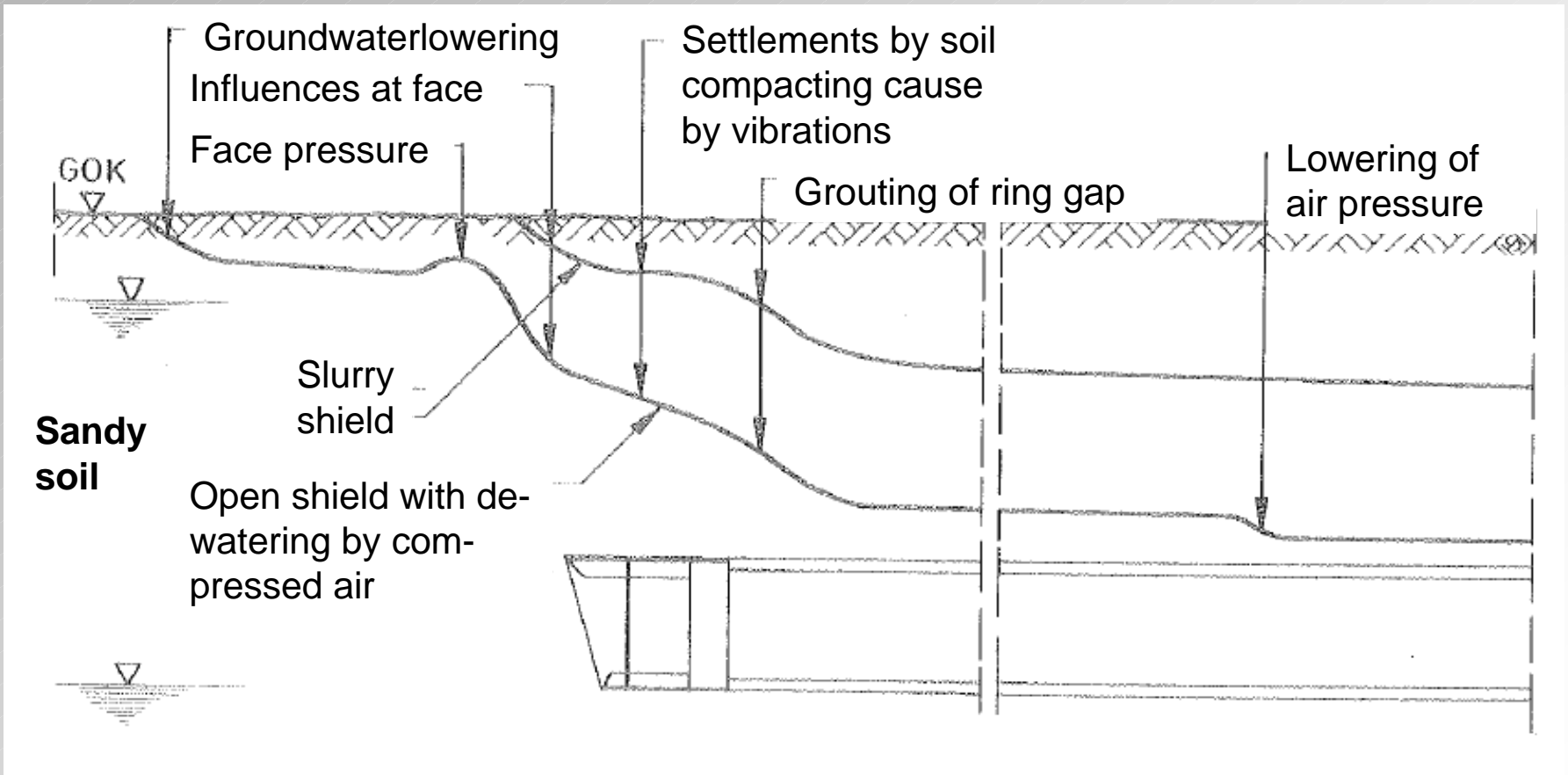
- Rough estimate:  $\theta = 45^\circ$   
(sure side)
- Rough estimate:  $\theta = 60^\circ$   
(more realistic)
- More exact:  $\theta = 45^\circ + 0,5 \cdot \varphi$   
 $\varphi$  = angle of inner friction

# Influence of a TBM drive on neighbour buildings



Limits of perpetuation of evidence

# Settlements during excavation with shielded TBM (longitudinal section)



# Influence of a TBM drive on the neighbour buildings

According to the soil conditions, the width of the settlement curve can be calculated.

Different calculation models and methods are available.

- **Volume Loss ( $V_l$ )** after Ralph Peck (1969)

→ Excavated soil volume ( $V_e$ ) > displaced soil volume  $V_d$ )

$$\Delta V = V_e - V_d \rightarrow V_l = \Delta V / V_e$$

Examples: unsupported face in stiff clay:

$$V_l = 1.0 - 2.0 \%$$

supported face (Slurry or EPBM) in soft sand:

$$V_l = 0.5 - 1.0 \%$$

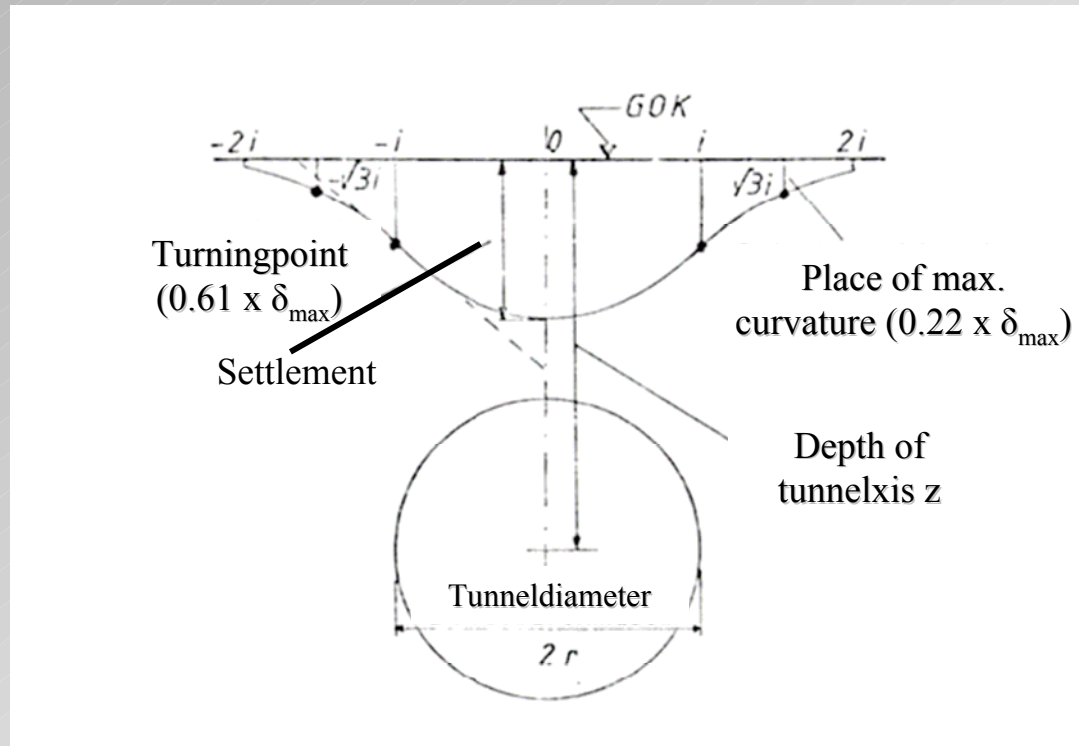
supported face (Slurry or EPBM) in soft clay:

$$V_l = 1.0 - 2.0 \%$$

conventional shotcrete advance in London clay:

$$V_l = 0.5 - 1.5 \%$$

# Settlement curve after Gauss normal distribution





## Formulas for settlement calculation:

$$S = S_{\max} \times e^{\frac{-x^2}{2i^2}}$$

(Form of the settlement curve)

$$S_{\max} = \frac{V_L}{i \times \sqrt{2\pi}} \times \left( \frac{D_A}{2} \right)^2 \times \pi$$

(Maximal settlement)

$$\Delta V = \sqrt{2\pi \times i \times S_{\max}}$$

(Volume loss)

$i$  ... coefficient for settlement

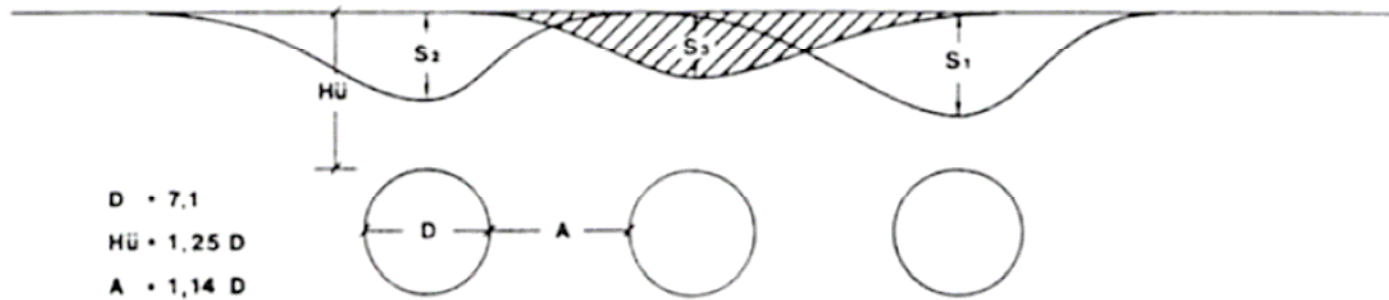
## Coefficient i:

- O'Reilly and New (1982):
- cohesive soil:  $3\text{m} < z_0 < 34\text{m}$        $i = 0.43 z_0 + 1.1\text{m}$
  - non cohesive soil:  $6\text{m} < z_0 < 10\text{m}$        $i = 0.28 z_0 - 0.1\text{m}$

O'Reilly and New for 2 layers (1991):

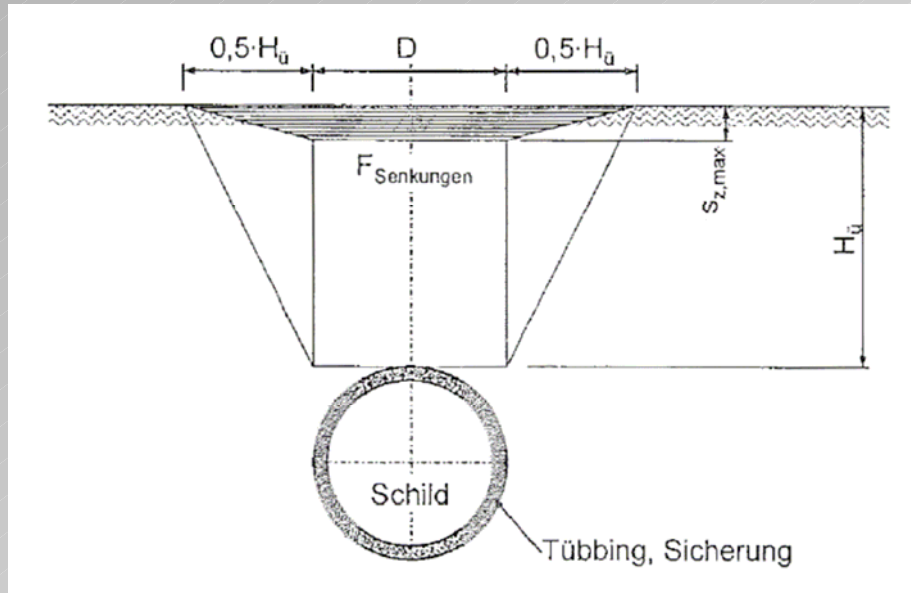
- tunnel in cohesive soil, non cohesive layer over the tunnel       $i = 0.43 z_a + 0.28 z_b + 1.1\text{m}$
- tunnel in non cohesive soil, cohesive layer over the tunnel       $i = 0.28 z_a + 0.43 z_b - 0.1\text{m}$

## Settlement curve after “Köster” (1988):



$$S_1 = (X_1 \times D) \times e^{X_2 \times \lg E_S^3} \times e^{X_3 \times \lg E_S^4} \times e^{X_4 \times \lg E_S^5} \times e^{X_5 \times \lg E_S^6} \times e^{X_6 \times \lg E_S^7} \times \frac{\left( \ln \frac{H_a/D}{3,5} \right) - 0,1}{-0,02}$$

## Settlement curve after “Scherle” (1977):



$$S_{z,\max} = \frac{D_A}{(1 + H_u/D_A)} \times B_K$$

Parameter  $B_K$ :

non cohesive soils: very compact

1.5

compact

2

loose

3

very loose

4

cohesive soils:

semi solid

2

stiff

3

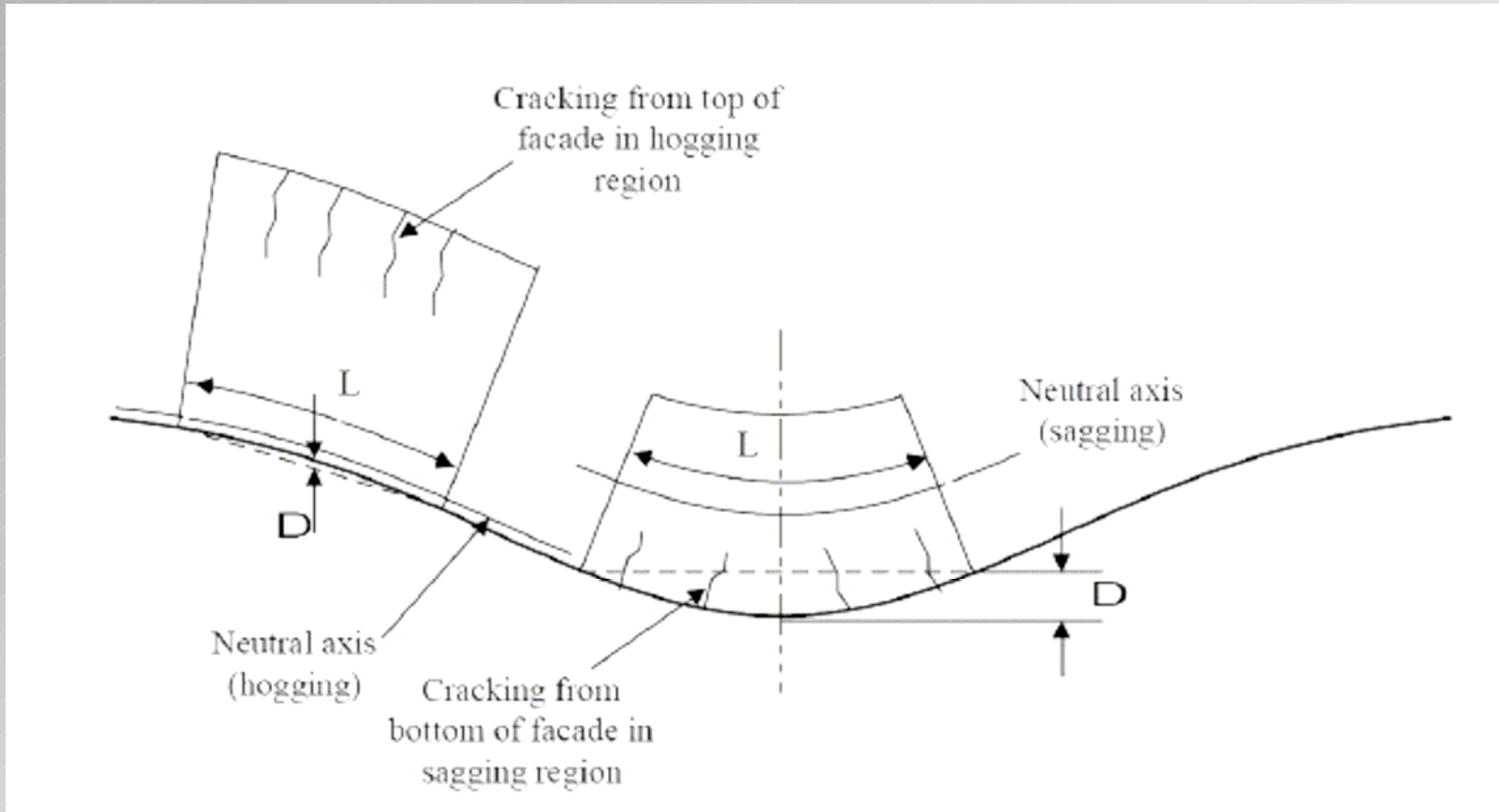
soft

4

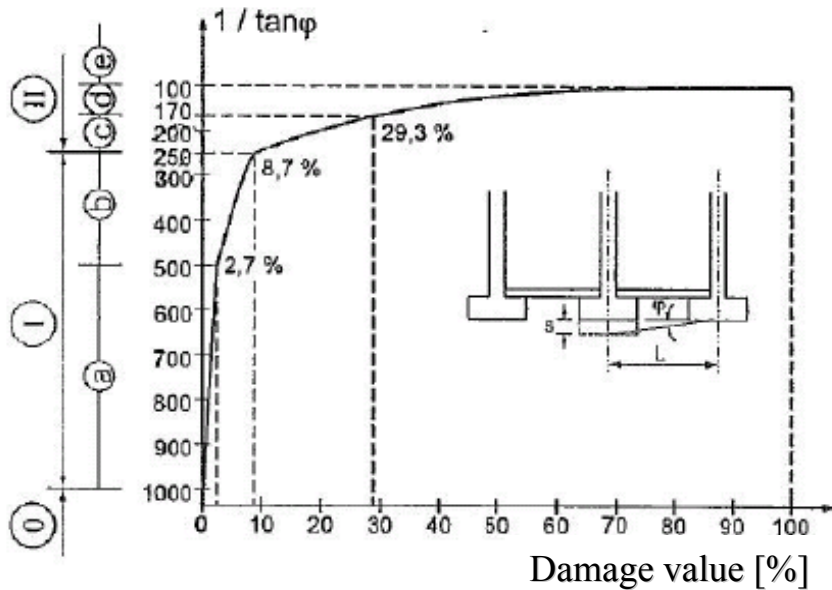
pulp

6

# Damages caused by settlements



# Damages caused by settlements



Area 0: No damages

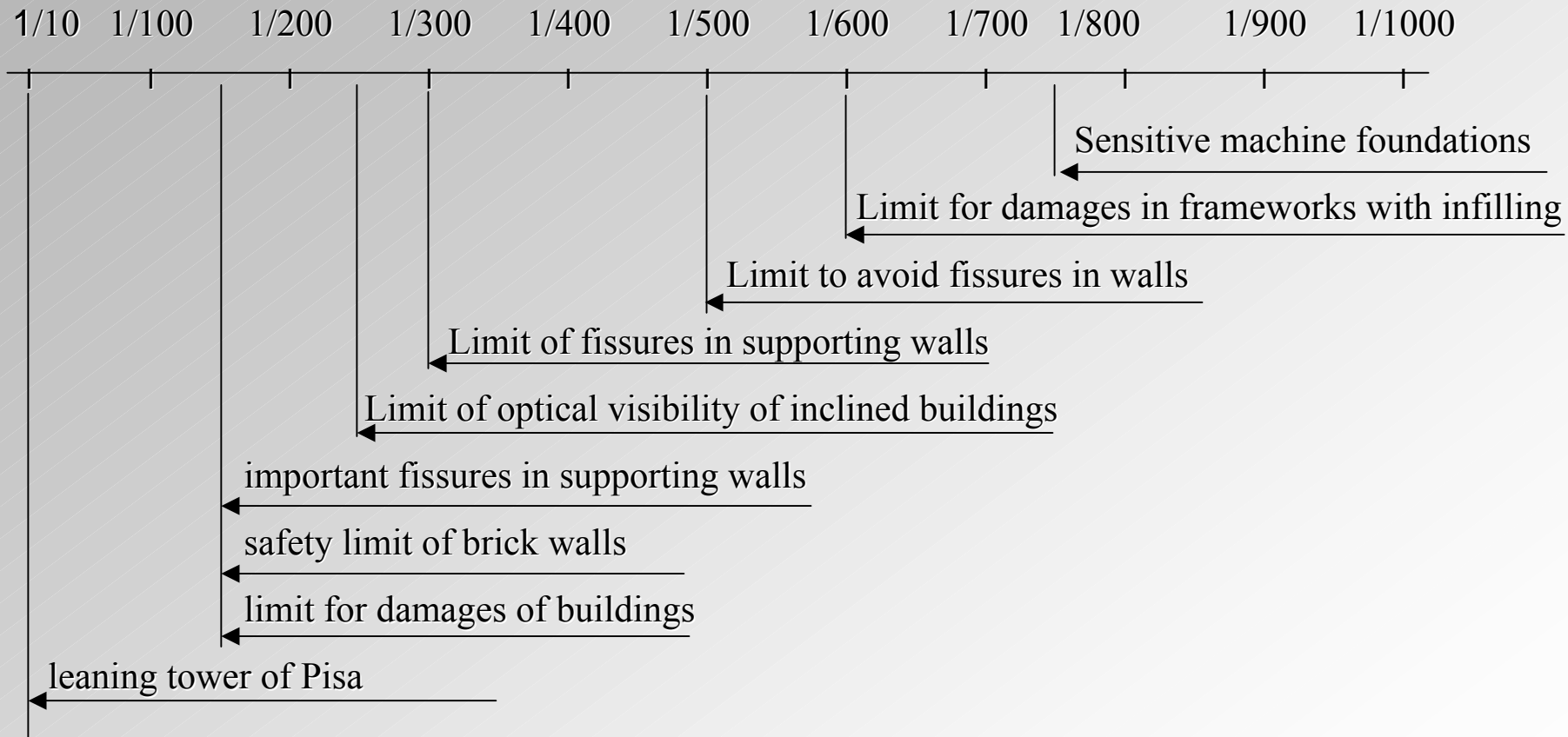
Area 1: architectural damages

- a) light damages (fissures in plaster)
- b) middle to strong damages (fissures must be filled, windows and doors must be repaired)

Area II: constructive damages

- c) light structure damages (new levelling of floors, additional strengthening of floors, new finishing, loss of value of the building)
- d) strong structural damages but repairable
- e) collapses or demolition of the building, complete reconstruction necessary

# Damages caused by settlements



## Possible events at the face during advance:

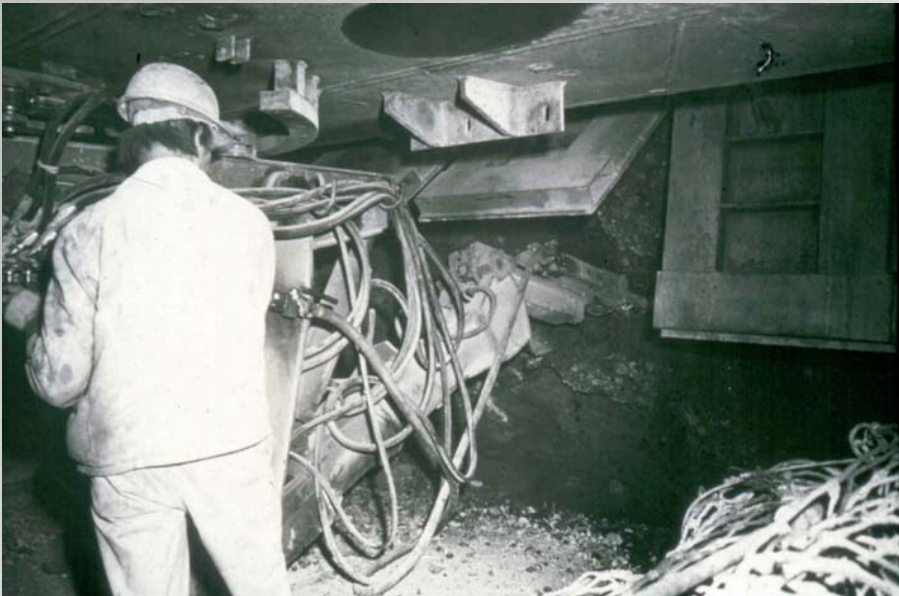
- Face collapses
  - change of penetration rate  
(advance [cm] per rotation [-])
  - change of rotation speed
  - change of blade pressure
  
- Collapses over face
  - no interrupts in advance  
(optimisation of all installations)
  - no turning of the cutting wheel  
without moving forward
  - closing of radial openings in  
cutting wheel





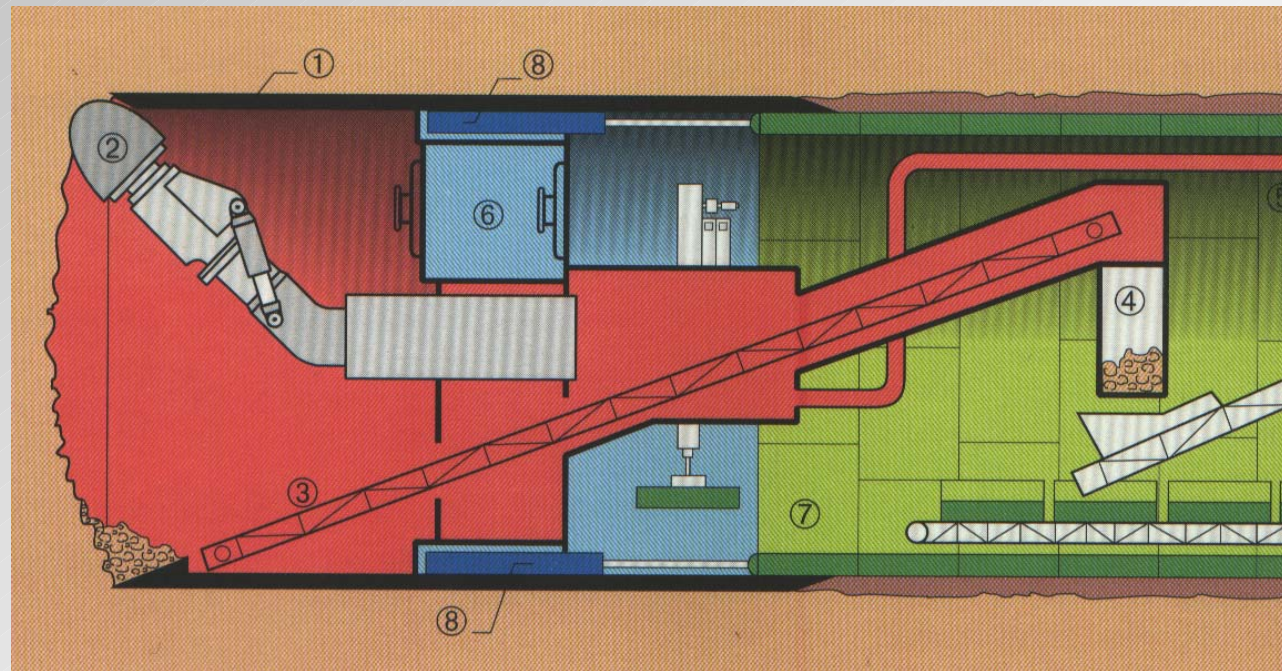
## Systems for face stabilisation:

- Blade pressure



## Systems for face stabilisation:

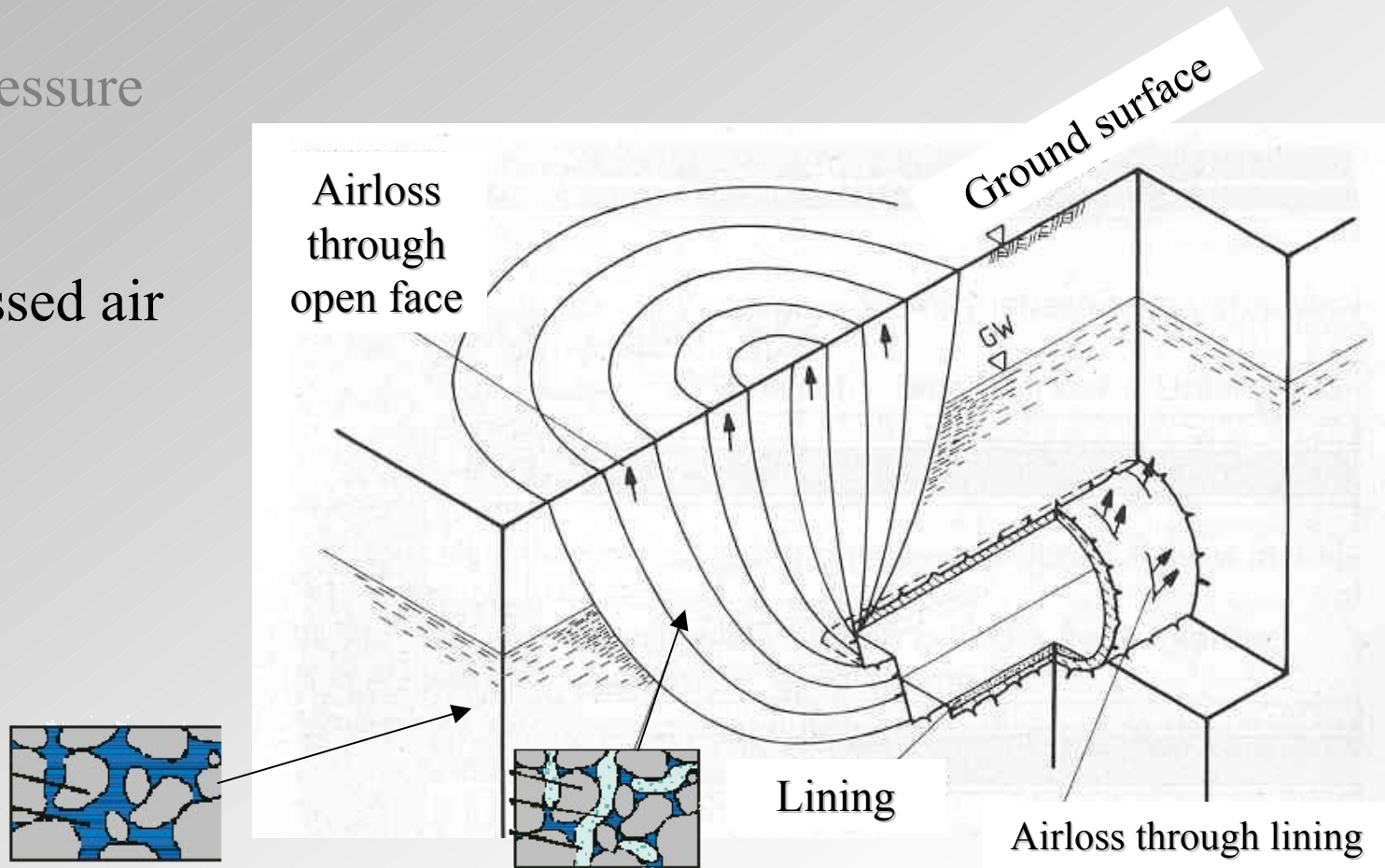
- Blade pressure
- Compressed air





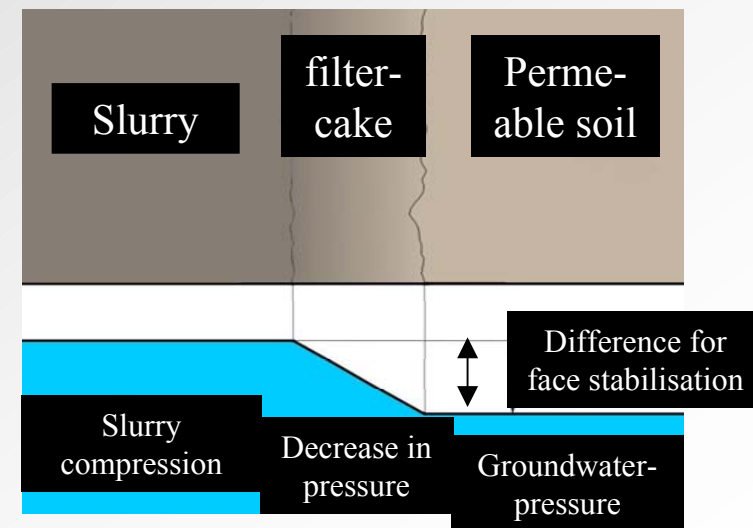
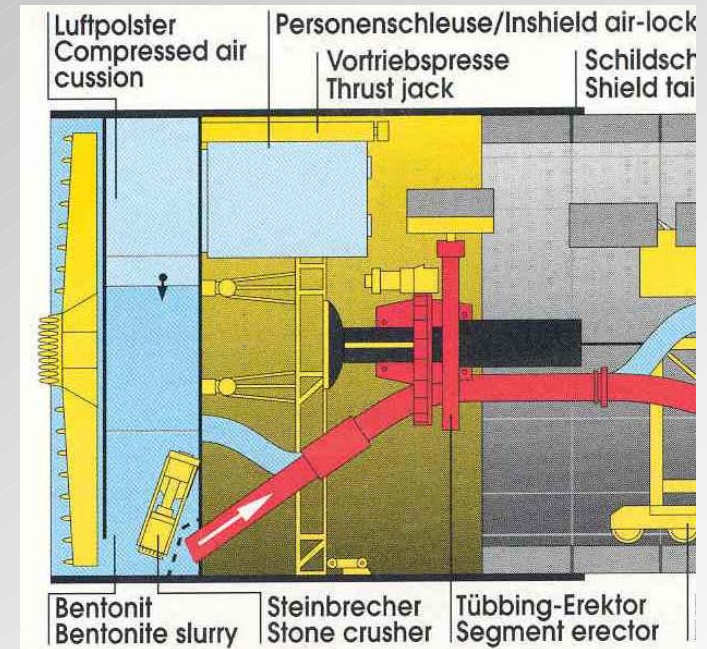
## Systems for face stabilisation:

- Blade pressure
- Compressed air



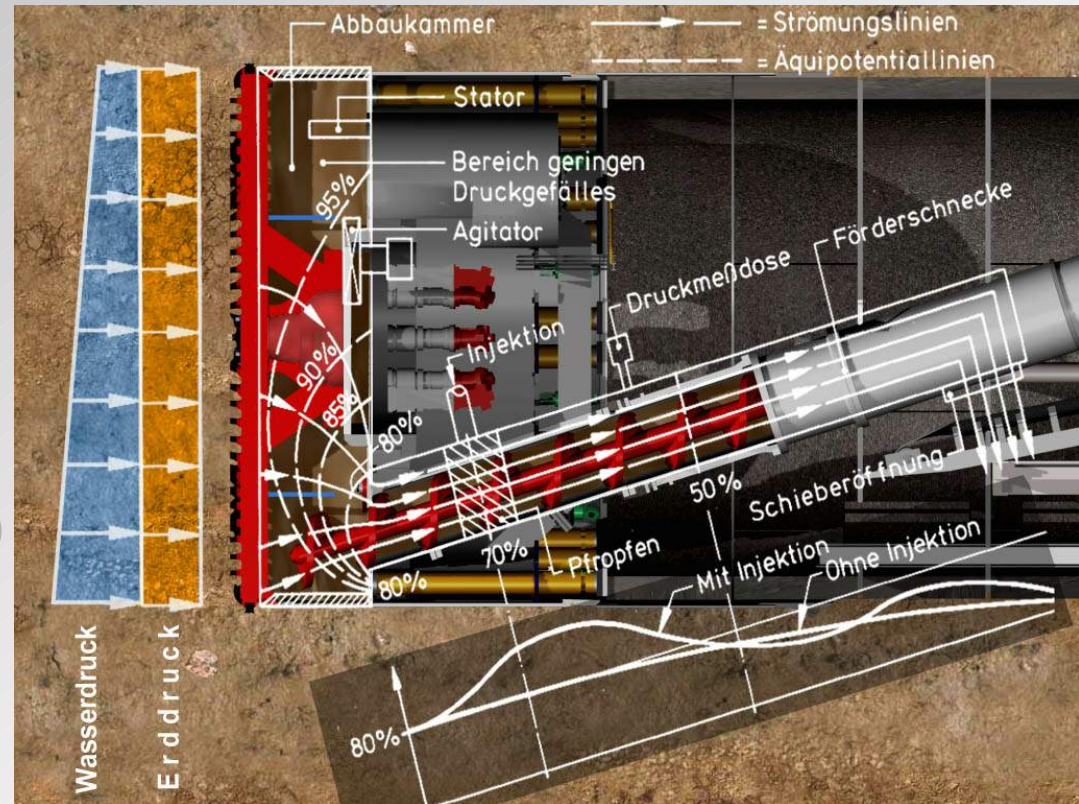
## Systems for face stabilisation:

- Blade pressure
- Compressed air
- Slurry (bentonite mixture)



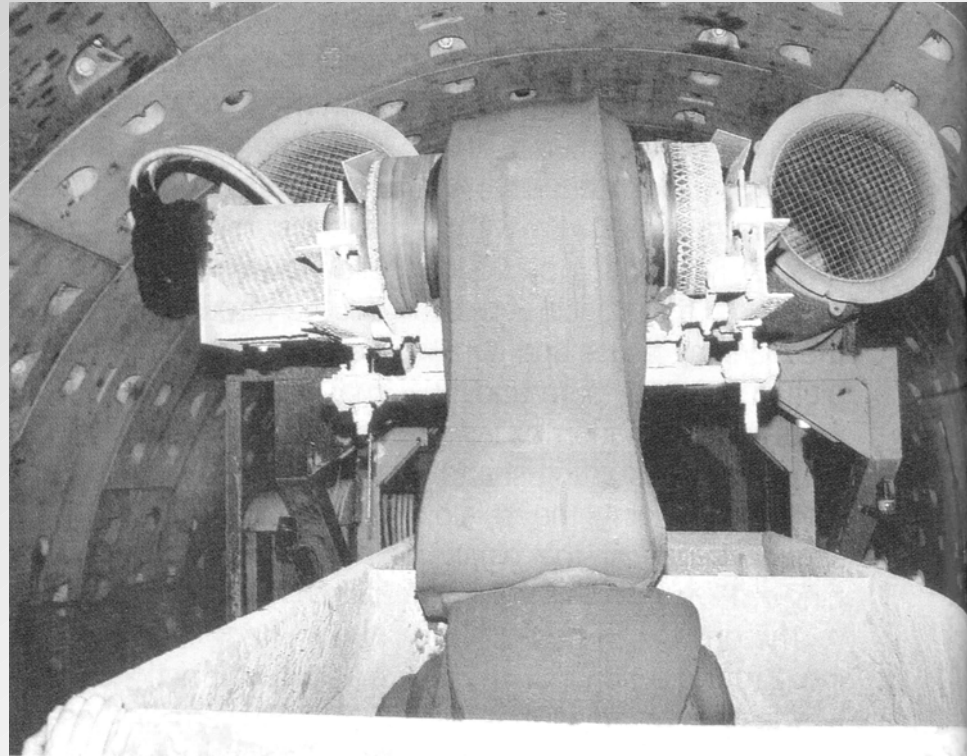
## Systems for face stabilisation:

- Blade pressure
- Compressed air
- Slurry (bentonite mixture)
- EPB



## Systems for face stabilisation:

- Blade pressure
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- Slurry (bentonite mixture)
- EPB



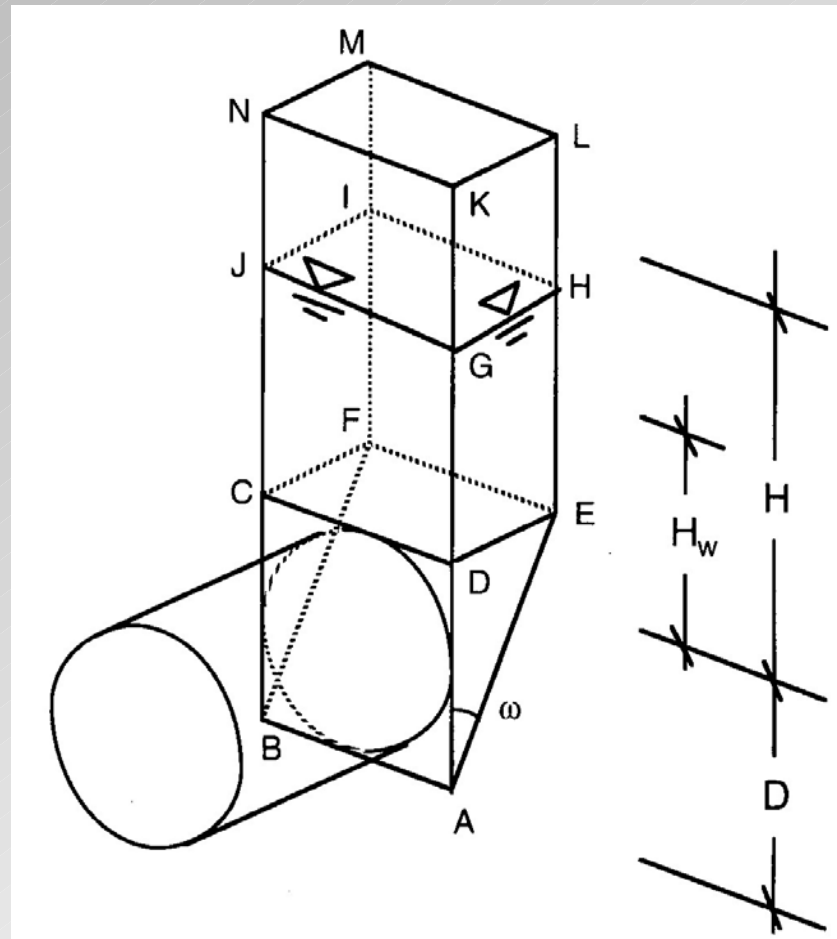


# Precalculation necessary Face Pressure

## Calculation Models:

- Anagnostou / Kovari
- Horn
- Jancsecz
- Muramay
- DIN 4085

# Calculation Model “Horn”





# Calculation Model “DIN 4085”

Face pressure is calculated according to

DIN 4085

(Spheric earth pressure on a slurry wall)

Parameters:

cal  $\varphi^l$ .....angle of inner friction (calculated) of the drained soil

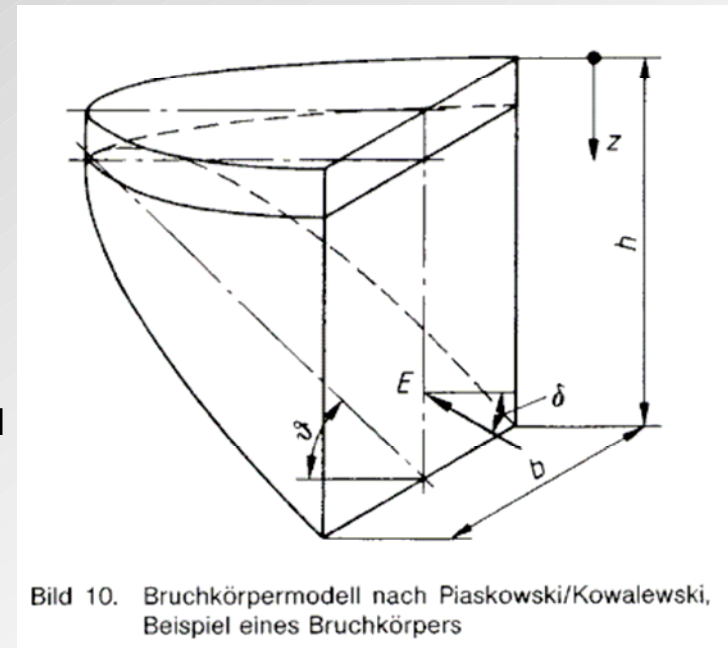
cal  $\gamma$ ..... Specific weight (calculated) of the soil

cal  $c^l$ ..... cohesion (calculated) of the drained soil

$z$ ..... depth

$\Delta h$ ..... Hight of the calculated lamell

$p$ ..... Load on surface



# Face pressure according to DIN 4085:

Way of calculation:

1. calculation of horizontal earth pressure

(active earth pressure)

$k_{agh}$  from weight of soil  $k_{agh} = \tan^2 \left( 45^\circ - \frac{cal \varphi^I}{2} \right)$

$k_{ach}$  from cohesion  $k_{ach} = 2 \cdot \tan \left( 45^\circ - \frac{cal \varphi^I}{2} \right)$

# Face pressure according to DIN 4085:

## 2. Form parameter for spheric calculation

$z$  ... depth

$b$  ... tunnel diameter

$z/b$	0	1	2	3	4	6	8	10
$\mu_{agh} = \mu_{aph}$	1	0,82	0,70	0,59	0,50	0,37	0,30	0,25

# Face pressure according to DIN 4085:

## 3. Calculation of pressure coefficient

$$s_{pa} \quad e_{ah} = c_{al} \gamma \cdot z \cdot \mu_{agh} \cdot k_{agh} + p \cdot \mu_{aph} \cdot k_{agh} - c_{al} c^l \cdot \mu_{ach} \cdot k_{ach}$$

## Face pressure according to DIN 4085:

4. Resulting force at the face (from earth pressure)

$$E_{ah} = b \cdot \int_0^D e_{ah} \cdot \Delta h$$

5. Resulting force at the face (from water pressure)

$$p_w = \gamma_w \cdot t_w$$

$\gamma_w$ ..... specific weight of water ( $\gamma_w=10$  [KN/m<sup>3</sup>])  
 $t_w$ ..... Depth under groundwater level

# Face pressure according to DIN 4085:

## 6. Verifications

### a) Stability of face

$$S \geq \eta_W \cdot W + \eta_E \cdot E_{ah}$$

S ..... pressure for face stability

W ..... waterpressure

$E_{ah}$  ..... sum of active earthpressure

$\eta_W$  ..... security factor for waterpressure

$\eta_E$  ..... security factor for earthpressure

$\eta_W = 1,05$

$\eta_E = 1,5$

$$\left( \eta = \frac{S}{(\eta_W \cdot W + \eta_E \cdot E)} \geq 1,0 \right)$$

# Face pressure according to DIN 4085:

b) Stability in top of tunnel

$$S \geq 1,1 \cdot (W + E_{ah})$$

S ..... pressure for face stability

W ..... waterpressure

E<sub>ah</sub>..... sum of active earthpressure

$$(\eta_{top} = \frac{S}{(W + E)} \geq 1,1)$$

# Face pressure according to DIN 4085:

## c) Stability in bottom

$$S - (W + E_{ah}) \geq 10 \dots 20 \text{ KN/m}^2$$

S ..... pressure for face stability

W ..... waterpressure

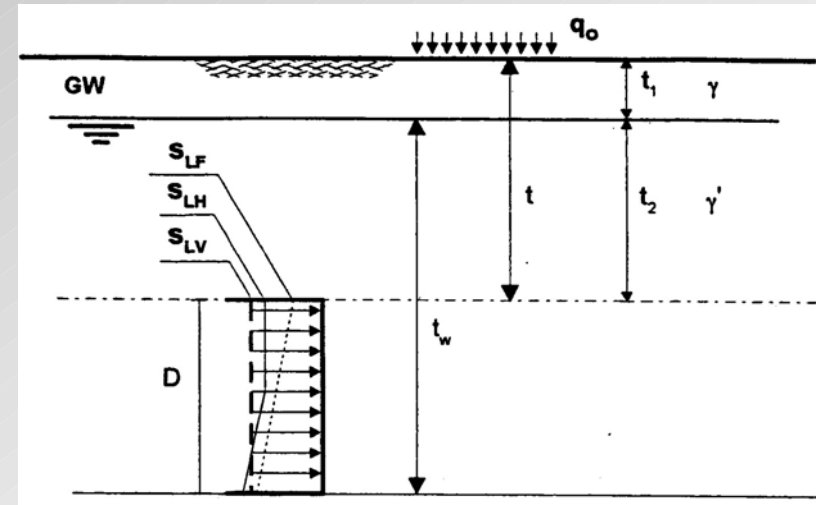
$E_{ah}$  ..... sum of active earthpressure



# Face pressure according to DIN 4085:

d) Stability against blow outs

$$\eta = \frac{(\sigma_z + p_{w0})}{p_0} \geq 1,1 \dots 1,2$$

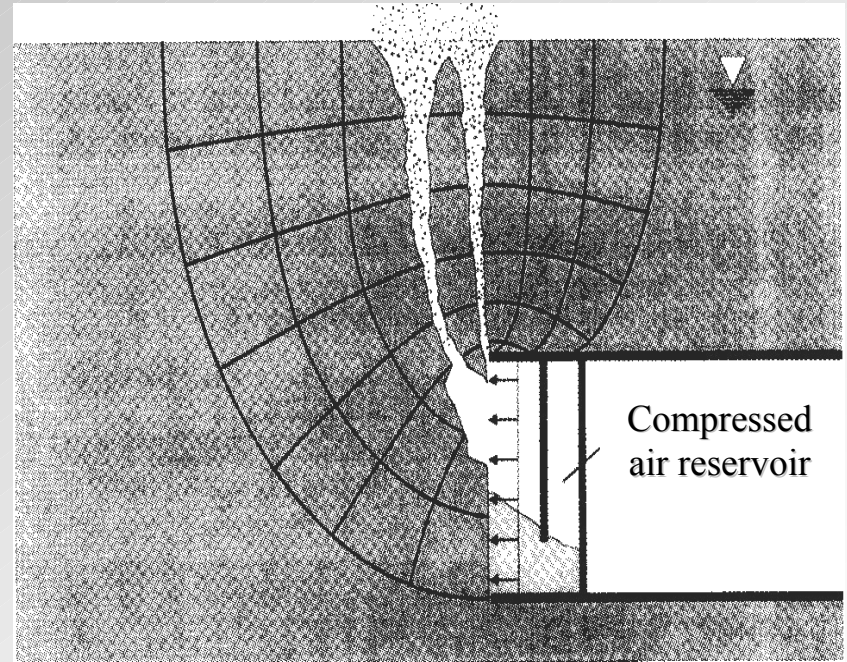
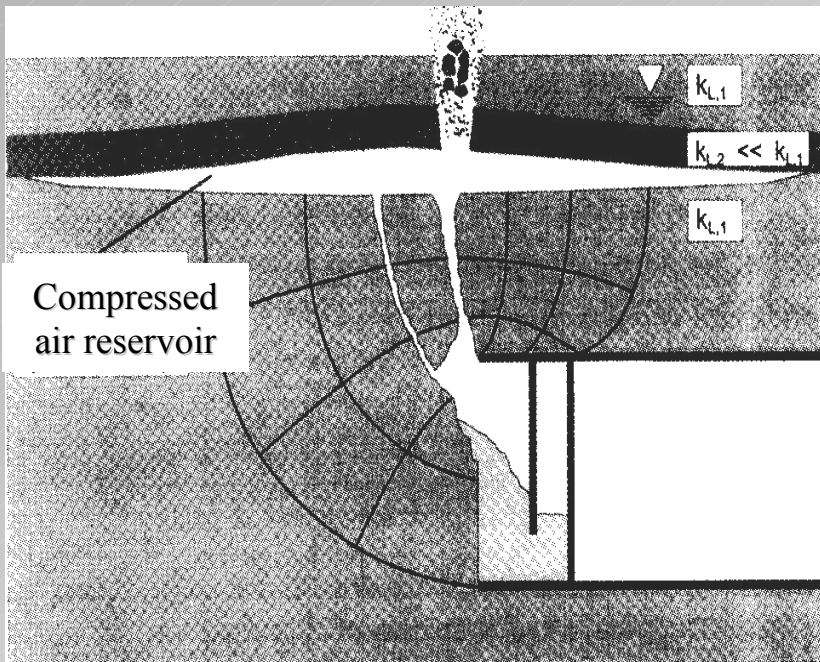


$$\eta = \frac{\gamma \cdot t_1 + \gamma' \cdot t_2 + \gamma_w \cdot (t_w - D)}{S_{LF, LH, LV}} \geq 1,1 \dots 1,2$$

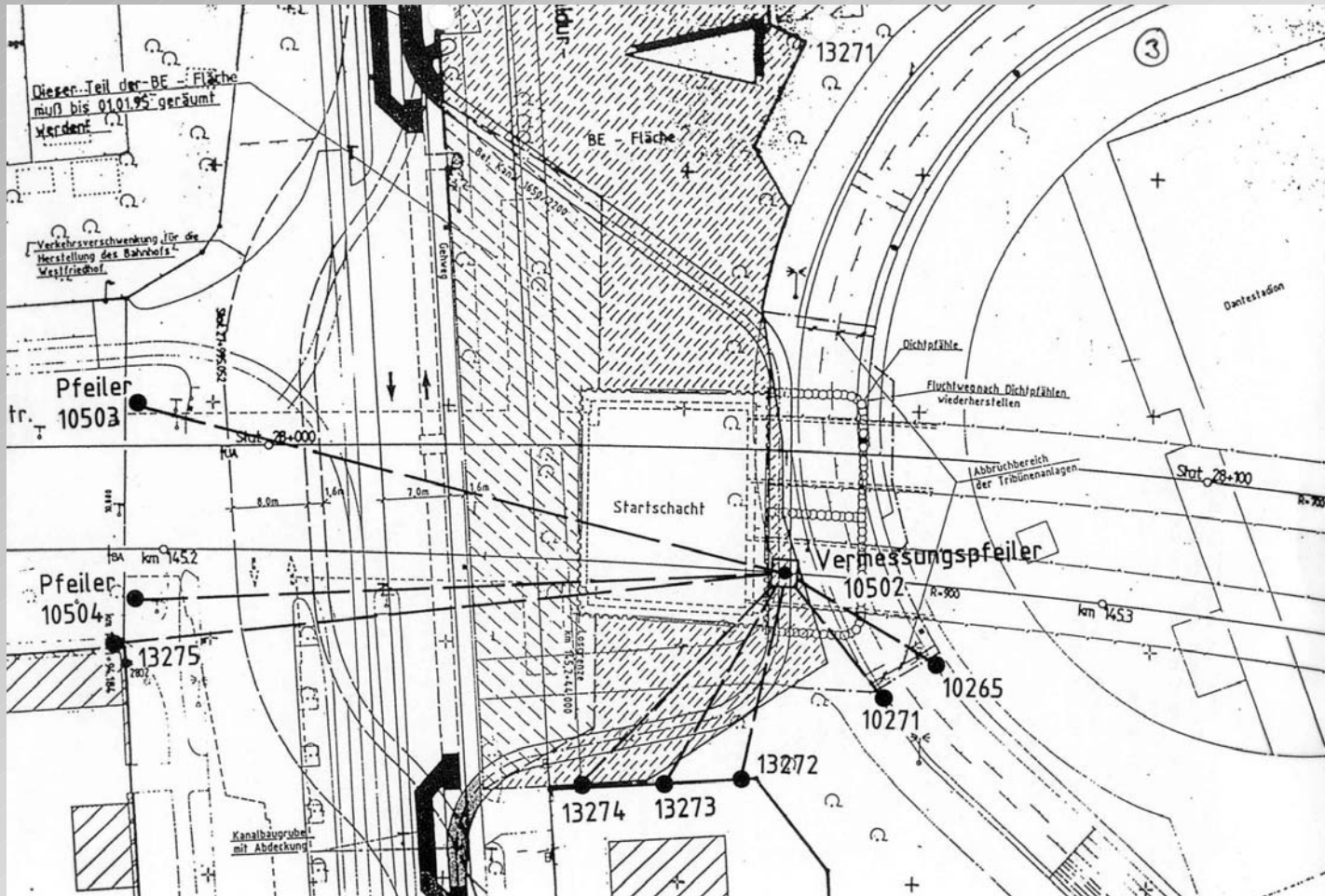
1.1 ..... Security factor for normal advance

1.2 ..... Security factor during air pressure at face

# Blow outs

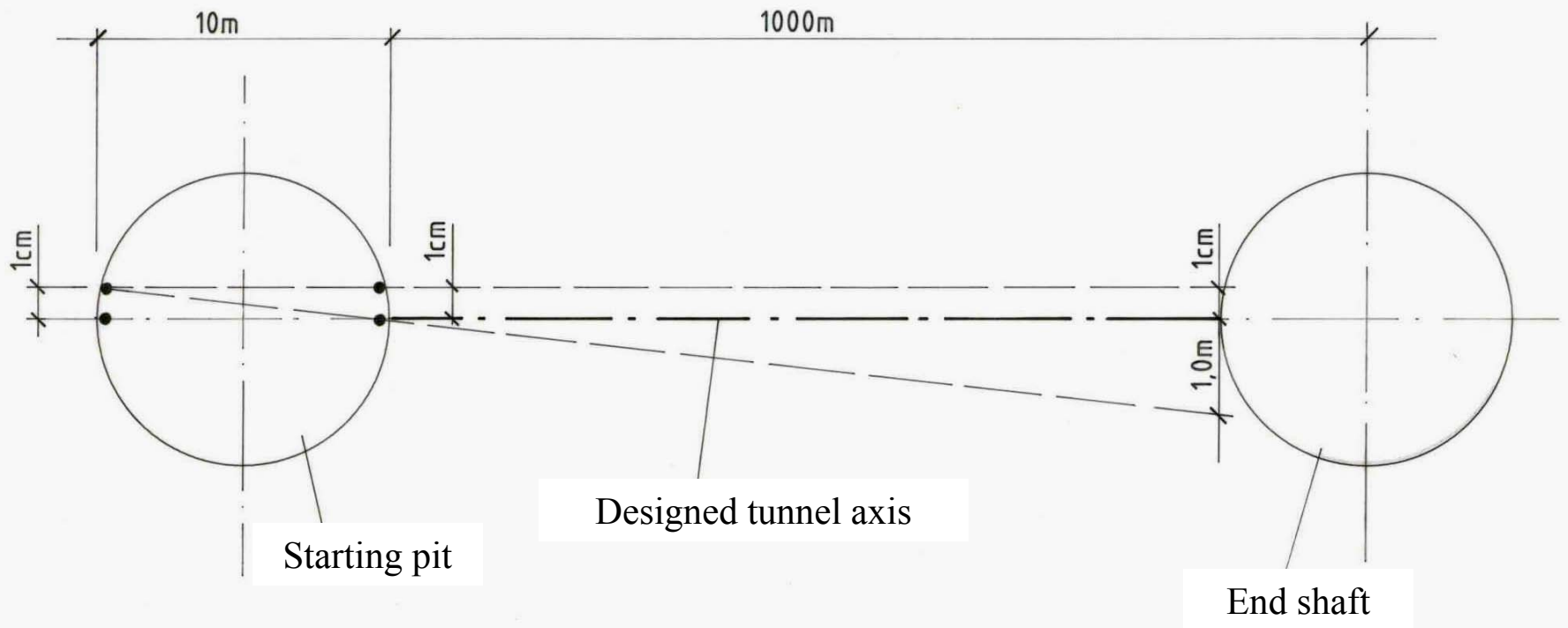


# *Survey during TBM drives and Guidance Systems*



*Coordinated site system with fixed survey points*

## *Result of direction fault in the starting pit*



## *Guidance systems*

### 1. Laser – target - system:

The „guiding line” is a laser beam. It hits on a special target fixed in the TBM. The target measures the exact position of the hit points and sends it to the computer. There the position of the TBM is calculated.

### 2. Measuring of reflectors fixed in the TBM with theodolite:

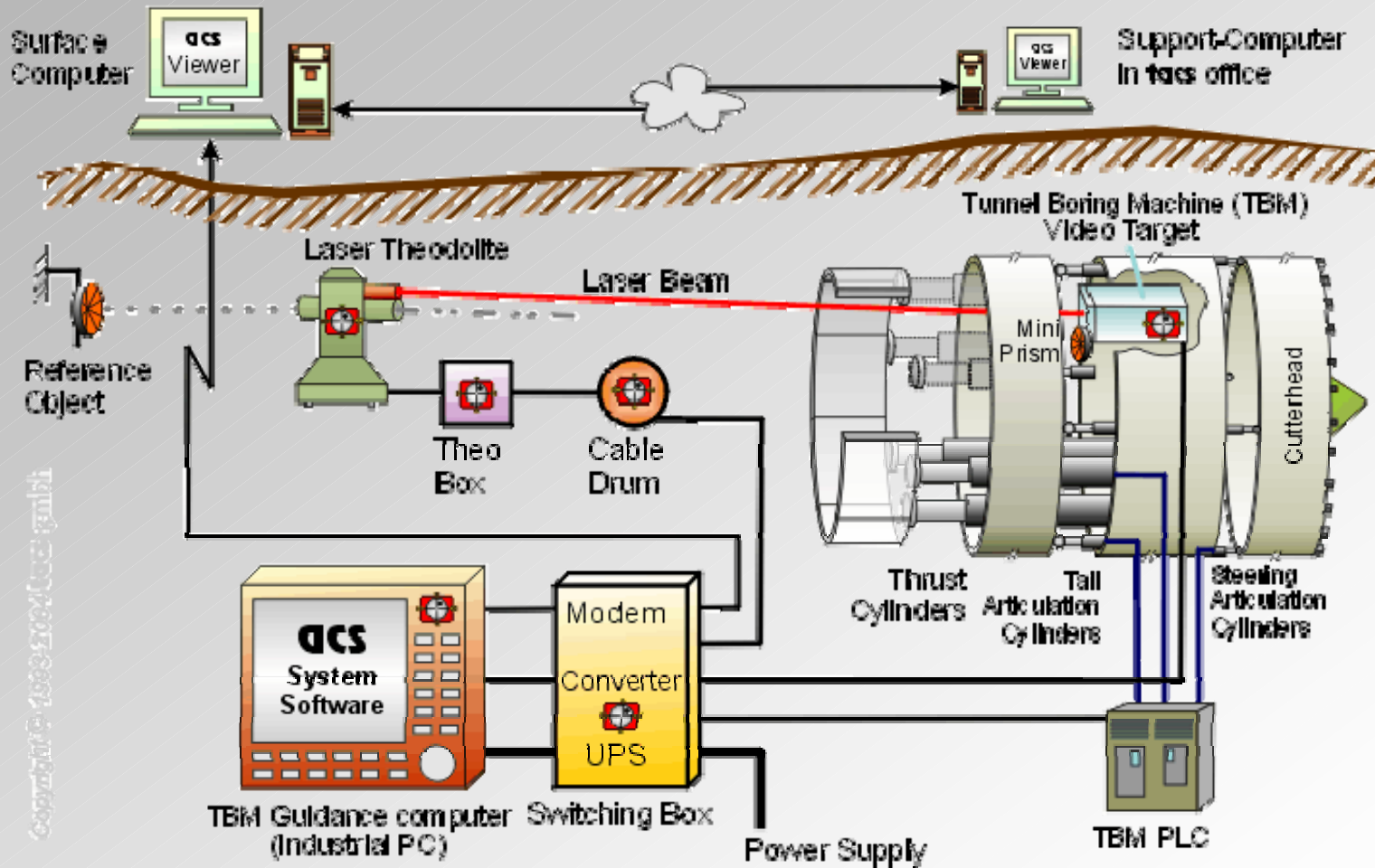
A motor theodolite searches and measures in short time several reflectors fixed in the TBM and calculates the 3D-coordinates. The computer calculates the position and tendency of the TBM from this coordinates.

### 3. Giro-theodolite and rubber tube level:

The tendency of the TBM is measured permanently by a north-finding giro-theodolite and an inclinometer. From the stationing of the TBM, the position is calculated from the last position.



# acs Guidance System - System Overview -



Copyright © 1998-2004 tacs gmbh

## *Guidance system - components*

### 1. Laser (-theodolite):

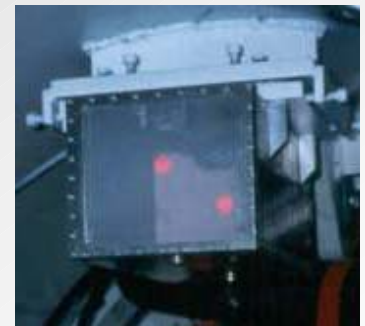
- provides an exposed guiding beam in the tunnel
- is equipped with an electronic distance measuring device: → Result: Coordinates of each point

### 2. Target:

- Measures the point where the laser hits the TBM
- Measures rolling and inclination

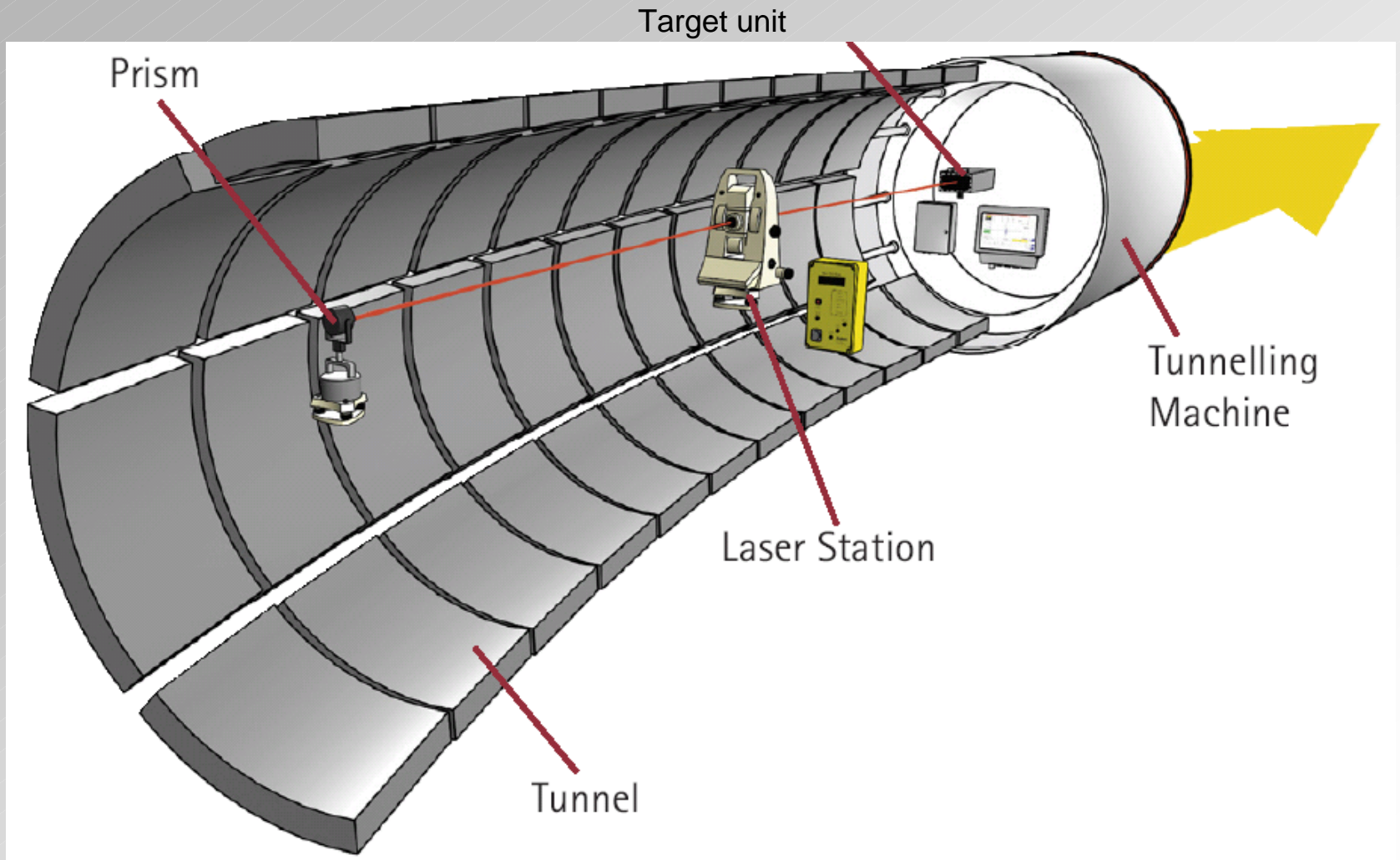
### 3. Computer:

- Stores all informations
- Calculates the TBM position
- Compares real position with DTA
- Offers several working tools for the shift engineer and the surveyor



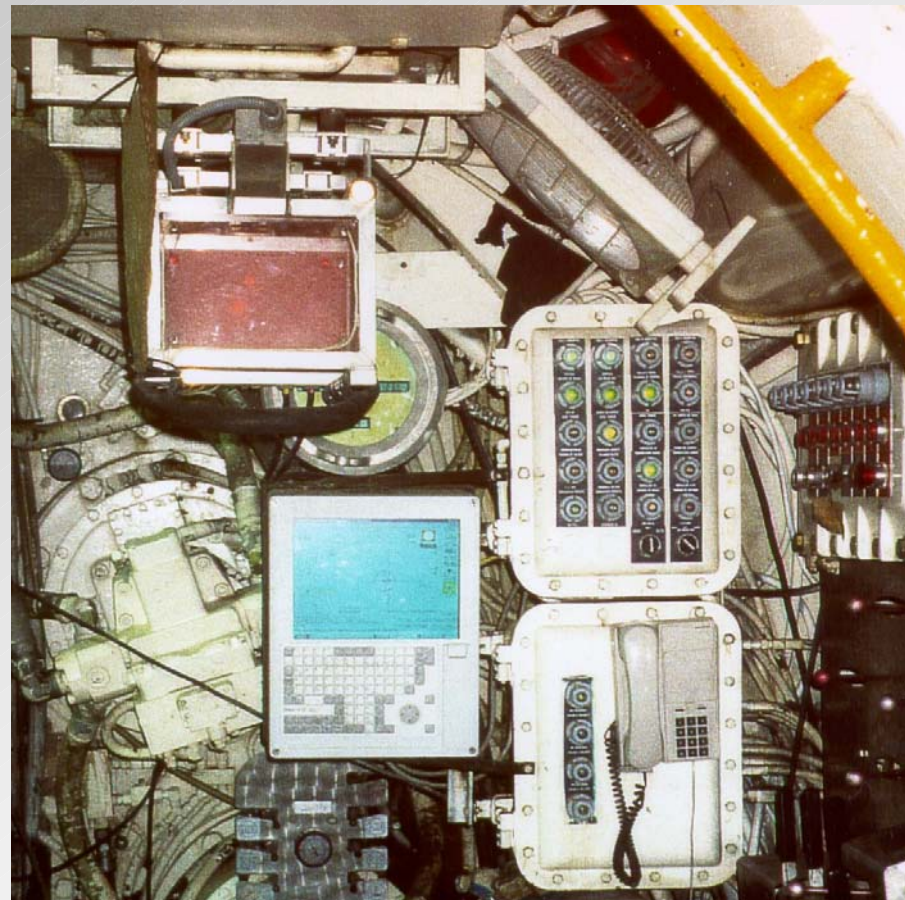
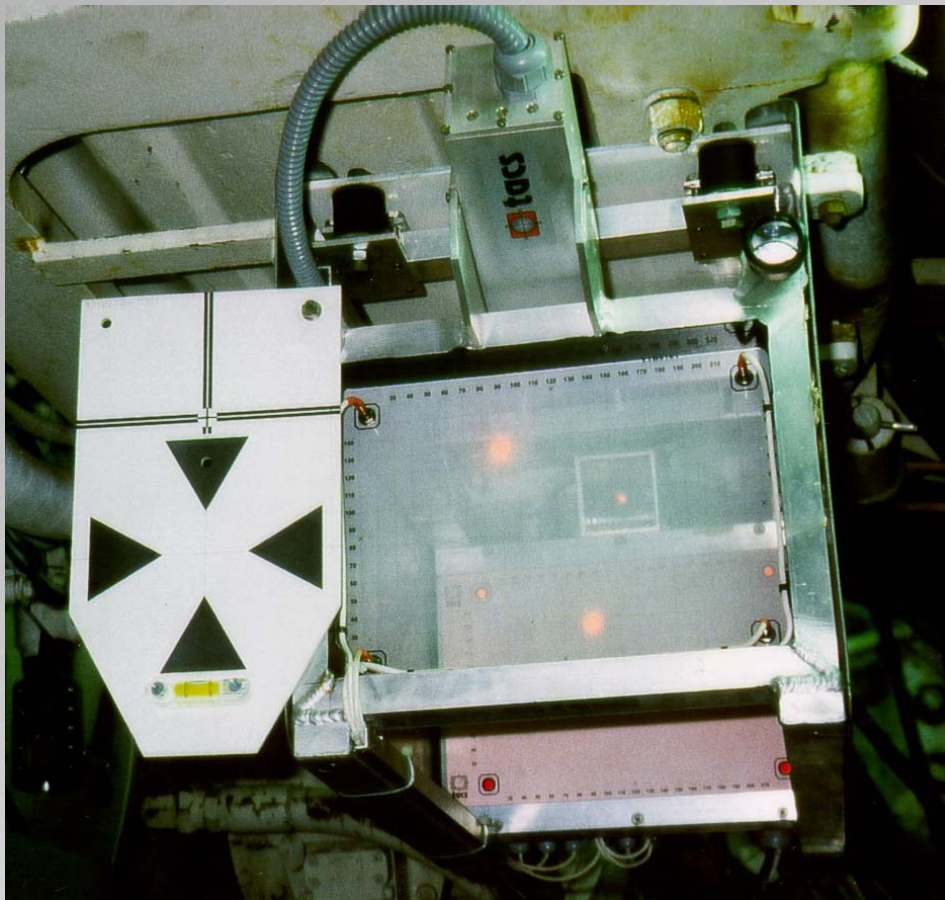


# Components



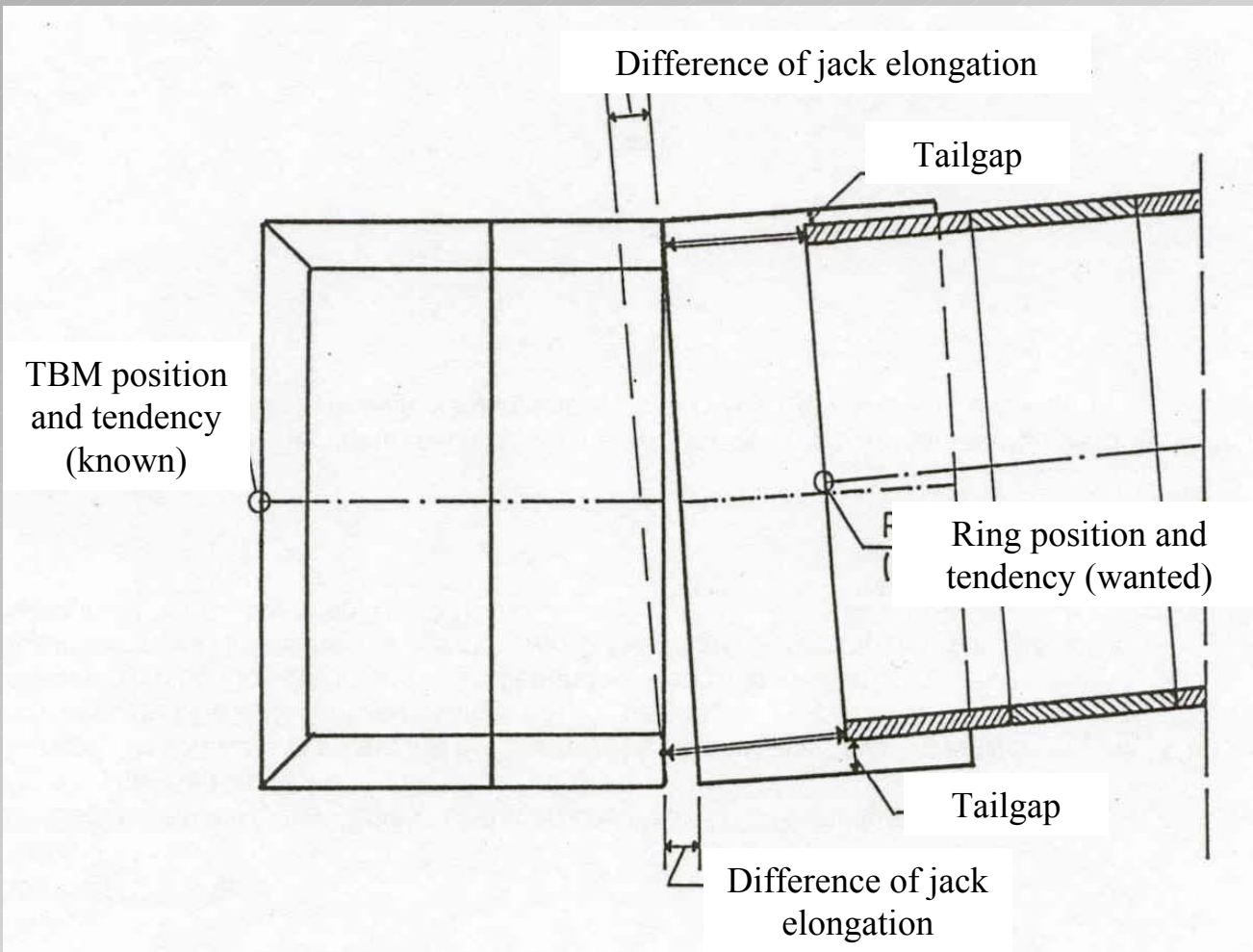


*Double target unit (tacs gmbh)*





*Computer  
(Industrial - PC)*



*Guidance system –  
measuring of the  
segmental ring*

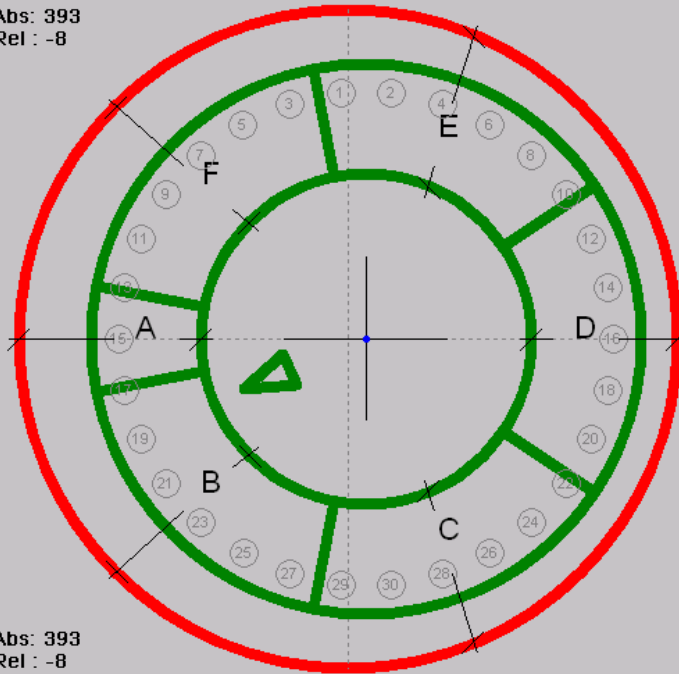


C5  
335

375 -> 384

Abs: 379  
Rel: 4

Abs: 393  
Rel: -8



400 -> 396

Abs: 396  
Rel: -11

Abs: 368  
Rel: 11

360 -> 368

Abs: 393  
Rel: -8

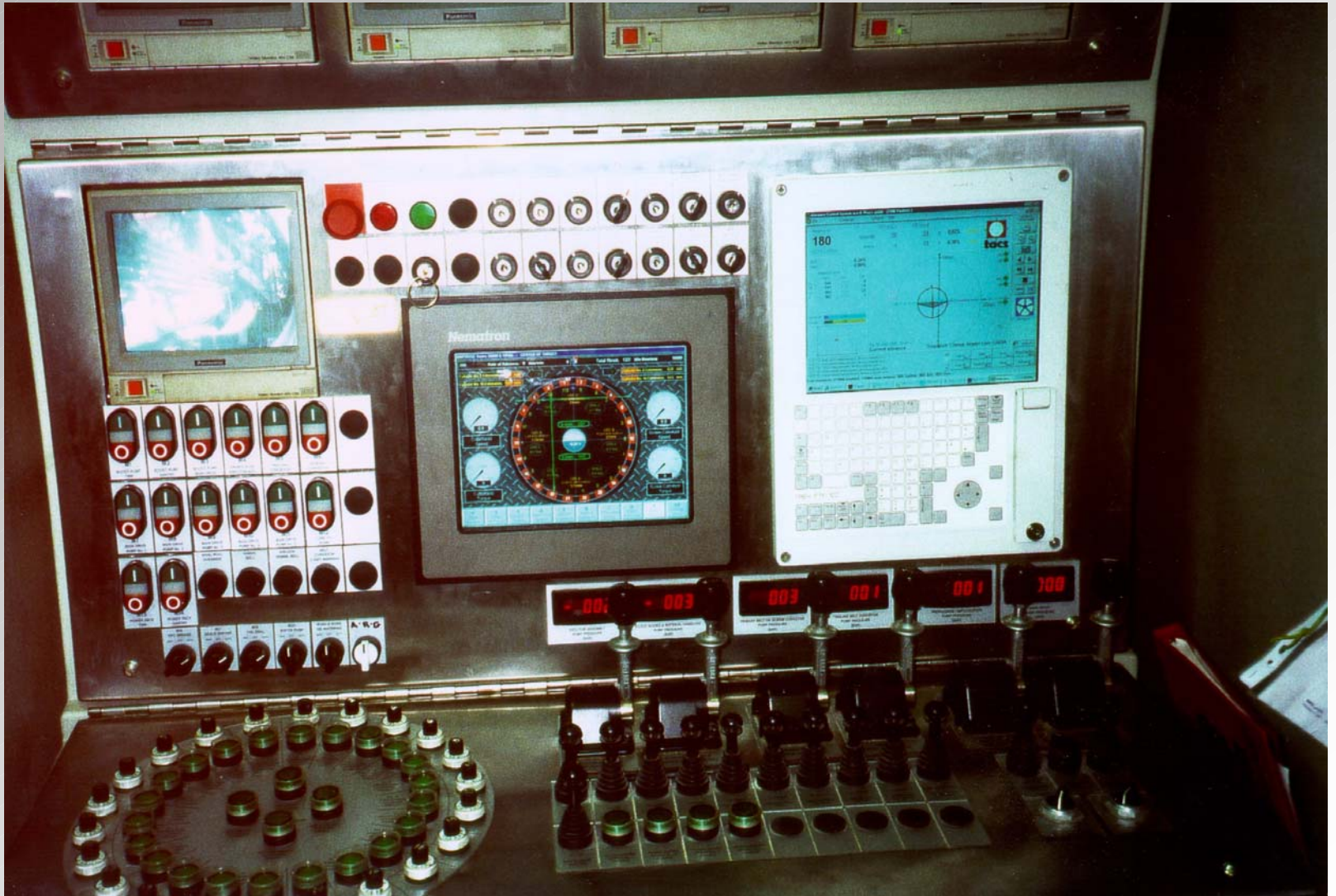
Abs: 379  
Rel: 4

3:50:25

380 -> 384

*Measuring of the  
segmental ring*

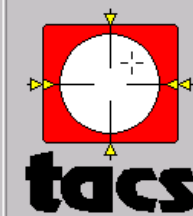
## *Control pannel (LOVAT)*



# Main screen (tacs gmbh)

Vortrieb Nr.  
**324**  
742.722m

	RP hinten	RP vorne			
horizontal	<b>-26</b>	<b>-14</b>	dt	0.44%	13mm
vertikal	<b>-16</b>	<b>-10</b>	dv	0.22%	17mm



15:36:25  
31.05.2005

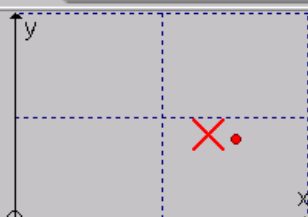
Verrollung **0.16%**  
Längsneigung **0.52%**

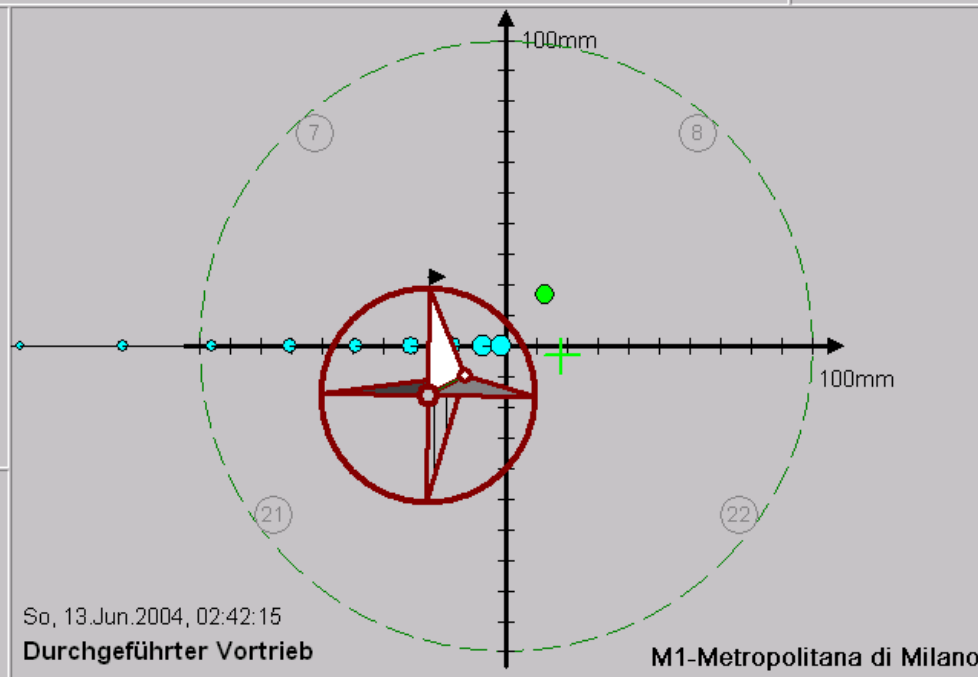
Vortriebspresen

	Ist	Soll	Diff.
8	2002	1800	0
22	2002	1800	0
21	1990	1800	12
7	1995	1800	7

Vortrieb **114%**

Tunnel **41%**

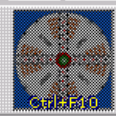




So, 13.Jun.2004, 02:42:15  
**Durchgeführter Vortrieb**

M1-Metropolitana di Milano

F2  
F3 F4  
F5  
F6 F7  
F8 F9  
F10  
F11 F12



Vortrieb

#1 Shift+F1

15:00:35 - Start: 31.05.2005 Version: 2.15 R23  
15:00:35 - Risorse disponibili: 976MB Hard disk, 1957MB Memoria, 90% Sistema, 90% GDI, 90% Utente.

Anmelden Alt+1

Abmelden Alt+5

TBM Alt+2

Zieltafel Alt+6

Pressen Alt+3

Ring Alt+7

Ringbau Alt+8

Vortrieb Alt+9

# Main screen (vmt gmbh)

SLS-T
\_ □ ×

	Rear [mm]	Front [mm]	Tend. RP [mm/m]	Chainage [m]	Advance
Horizontal	20	25	0 -1	120.53	1253
Vertical	4	-15	4 5	243.53	

Roll [mm/m]  
**-2** ←

Pitch [mm/m]  
**4** ↑

**PLC** **ALTU**

**A.In**

**TCA**

ATU Amplitude  
875

Laserintensity [%]  
30 %

Time since last determination of position:  
**00:00:03**

VTP 1	0
VTP 2	0
VTP 3	0
VTP 4	0
VTP 5	0
VTP 6	0
VTP 7	0

2004-12-13 - 11:17:18
Advance

F1

F2  
TBM  
Chart

F3  
Stop  
Survey

F4  
Advance

F5  
Direction  
check

F6

F7  
Offsets  
ref'd to CC

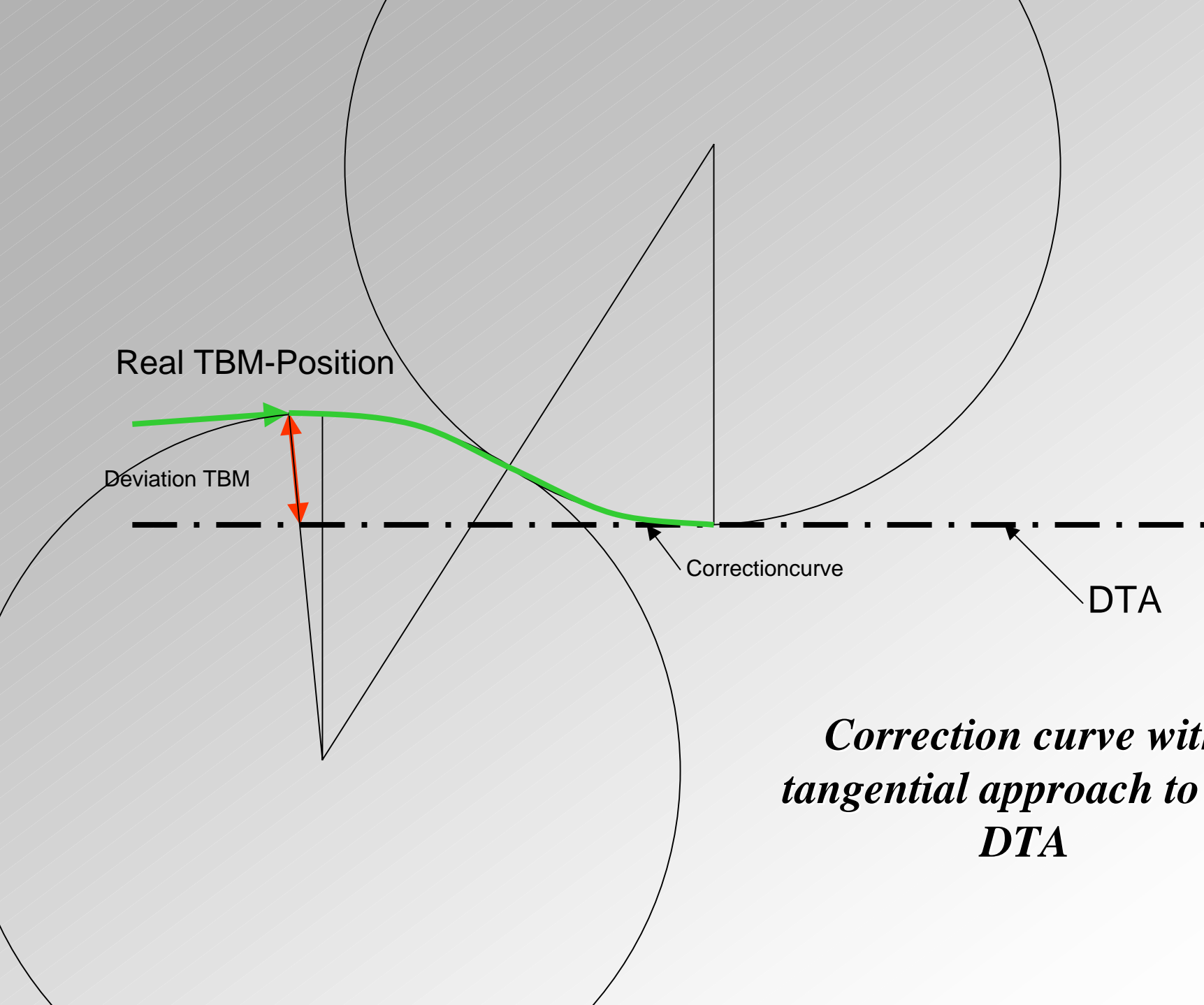
F8

F9  
Print

F10  
Next

13.12.2004 11:17:15 - Waiting for laser target.  
13.12.2004 11:17:15 - Laser target OK.

Menüebene: 1



Real TBM-Position

Deviation TBM

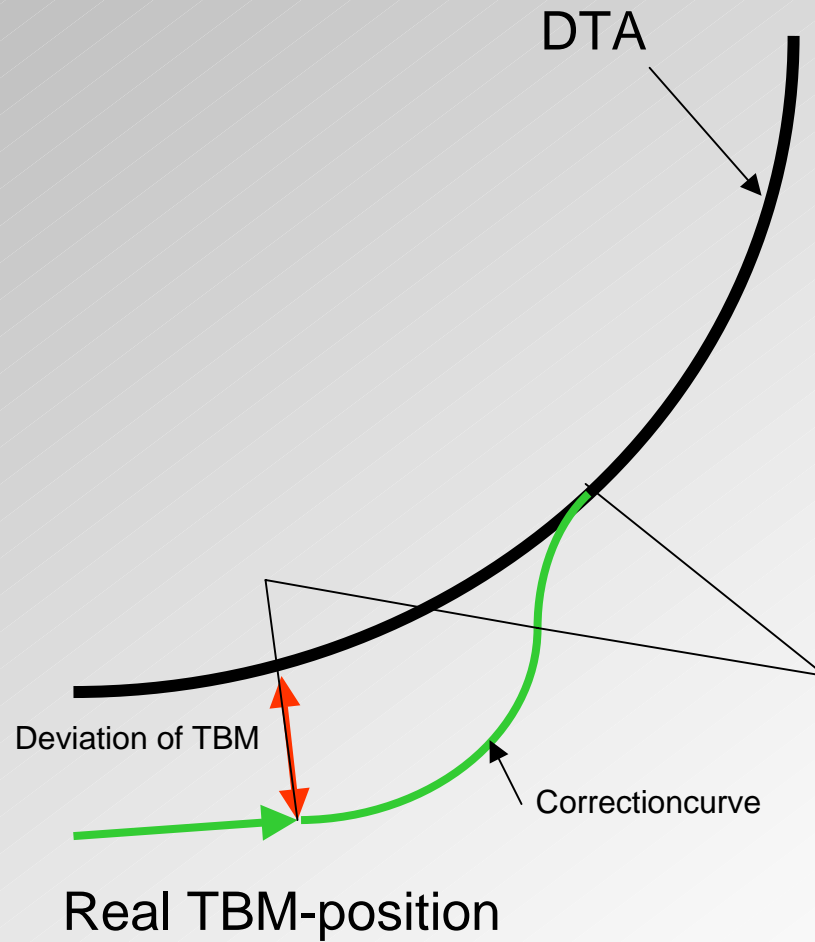
Correctioncurve

DTA

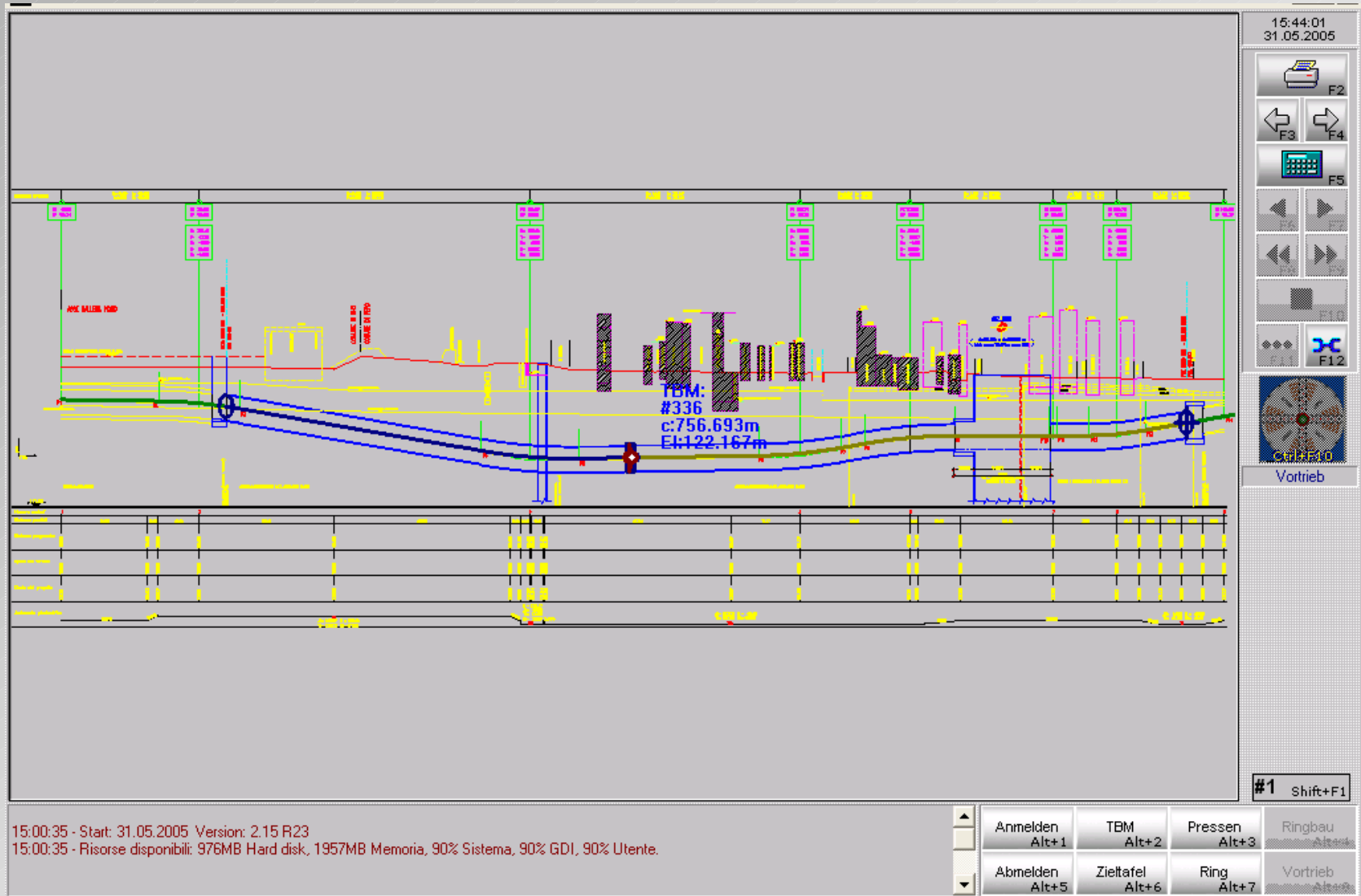
*Correction curve with  
tangential approach to the  
DTA*




# *Correction curve with tangential approach to the DTA*



# Screen – longitudinal section



SLS-T
[-] [ ] [X]



**VMT**  
Gesellschaft für  
Vermessungstechnik

	Rear [mm]	Front [mm]	Tend. RP [mm/m]		Chainage [m]	Advance
Horizontal	<b>3</b>	<b>-1</b>	<b>0</b>	<b>-1</b>	<b>12416.03</b>	<b>1203</b>
Vertical	<b>15</b>	<b>-4</b>	<b>0</b>	<b>-3</b>	<b>12539.03</b>	

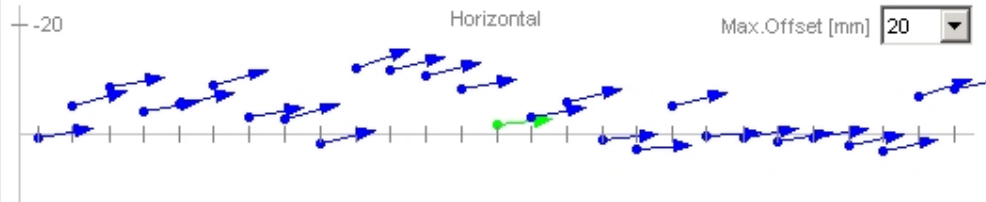
Date Of Position:

**20.10.2004**  
**11:07:47**

Roll [mm/m] **-1** ←

Pitch [mm/m] **-17** ↓

Horizontal Max.Offset [mm]



VTP 1 0

VTP 2 0

VTP 3 0

VTP 4 0

**PLC** **ALTU**

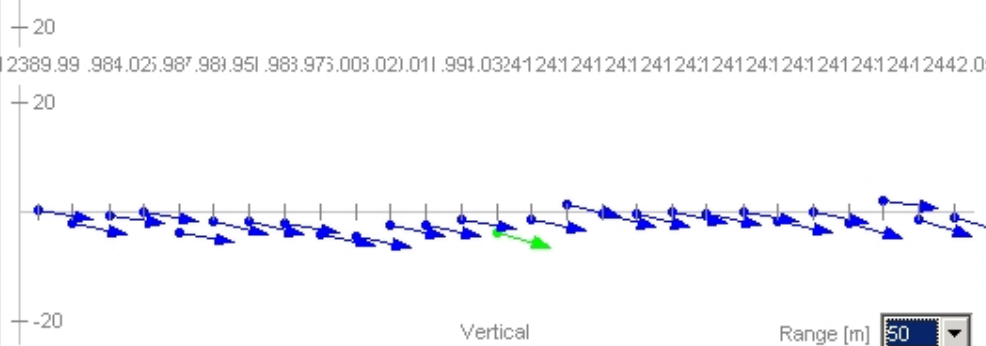
**A.In**



**TCA**

ALTU Amplitude

Laserintensity [%]

Vertical Range [m]



Germany  

2004-12-14 - 09:22:18
Islisberg
TBM Chart

F 1
F 2
F 3
F 4
F 5
F 6
F 7
F 8
F 9
F 10

Go to...
|<<
<<
<
>
>>
>>|
Print
Back

↑

↓

14.12.2004 09:21:28 - Survey is being stopped.

14.12.2004 09:21:29 - Survey stopped.

Menüebene: —

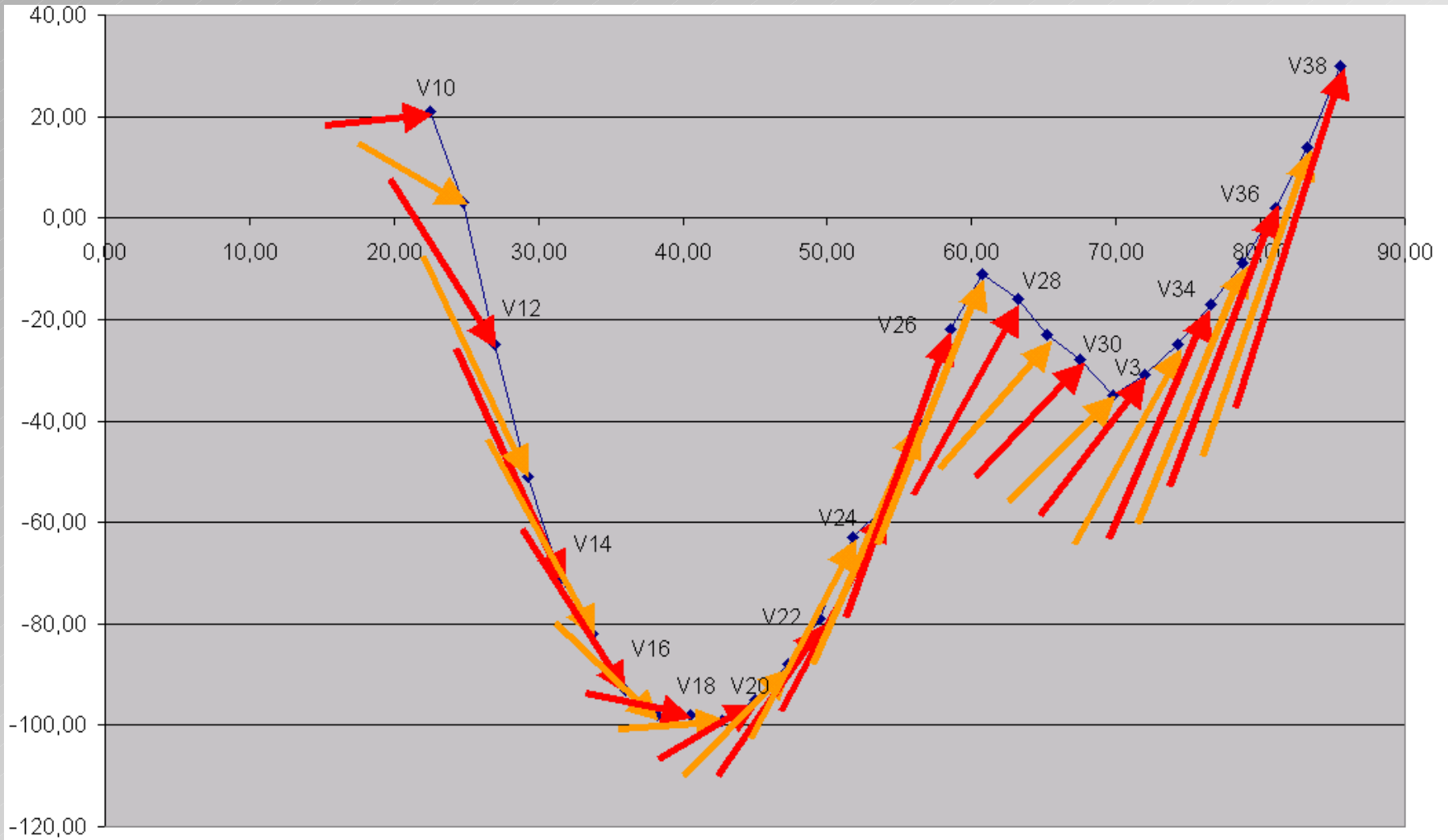
***TBM  
position***

## Problems concerning advance controll

- Inaccurate survey of the TBM before start of tunnelling
- Incorrect definition of tunnel axis in reference to rail axis (consider of cant)
- Mistake during input of DTA
- Problems with control of direction (refraction at tunnel wall)
- Incorrect driving back to the DTA after a deviation



## **Refractions - Laser near lining**



**TBM drive in the start phasis (riding)**



## **Monitoring of advance and ring erection**

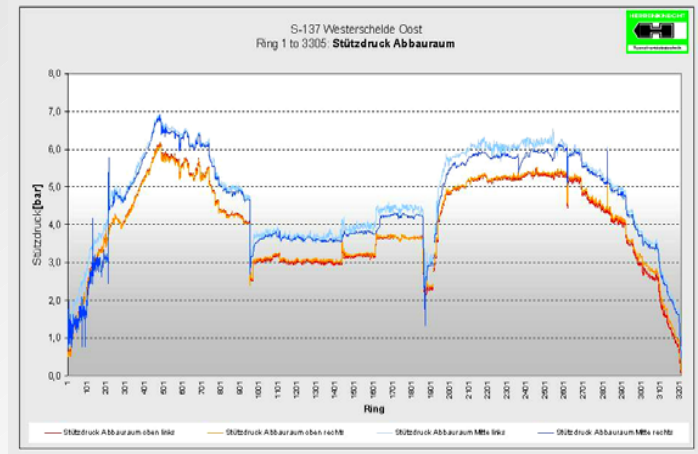
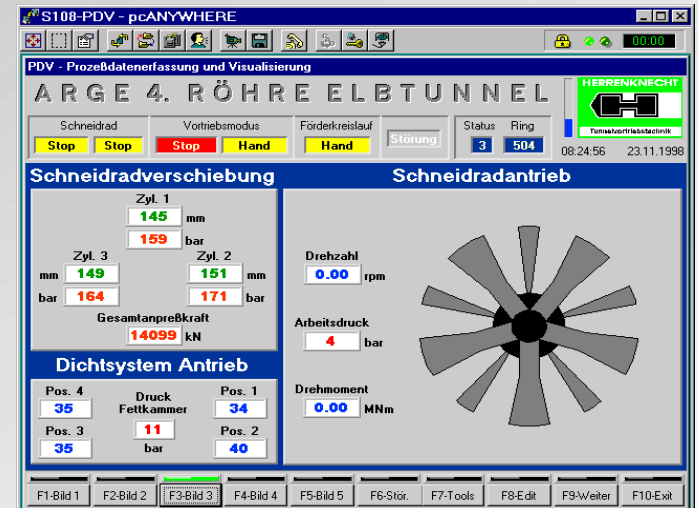
During the whole tunnel drive, the advance team should make a report for each erected ring and for each advance (of one ring).

The reports should be part of the construction log book. Form and content should be agreed by the client.

Following items should be part of the report:

- Start and end of advance
- Measured tail gap (4 places), before and after ring erection
- Jack elongations
- Position and form of the built ring
- Special events during advance
- Start and end of ring erection
- Damages at the ring during ring erection
- Results of face inspection (cutters, face)
- Accidents during advance and ring erection (time, cause)

# Monitoring of TBM data





# 5

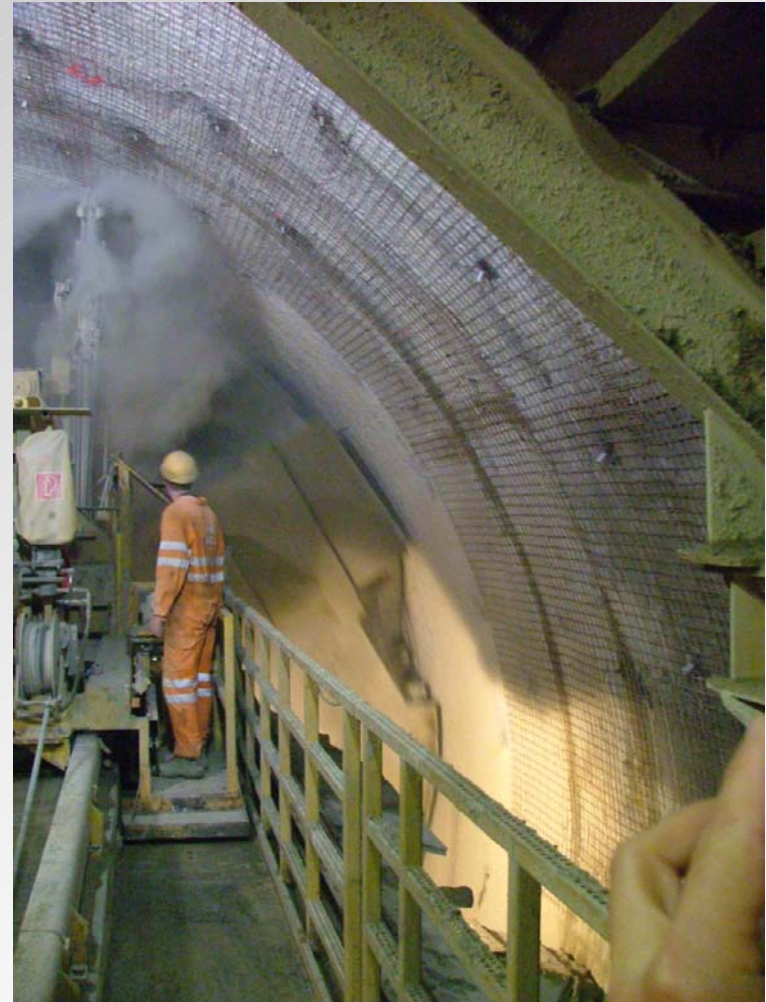
## Lining behind TBMs

---

- Shotcrete behind hardrock TBMs
- Precast Concrete Lining behind shielded TBMs
- Ringerection

## Shotcrete behind hard rock TBMs

- Shotcrete is creating dust



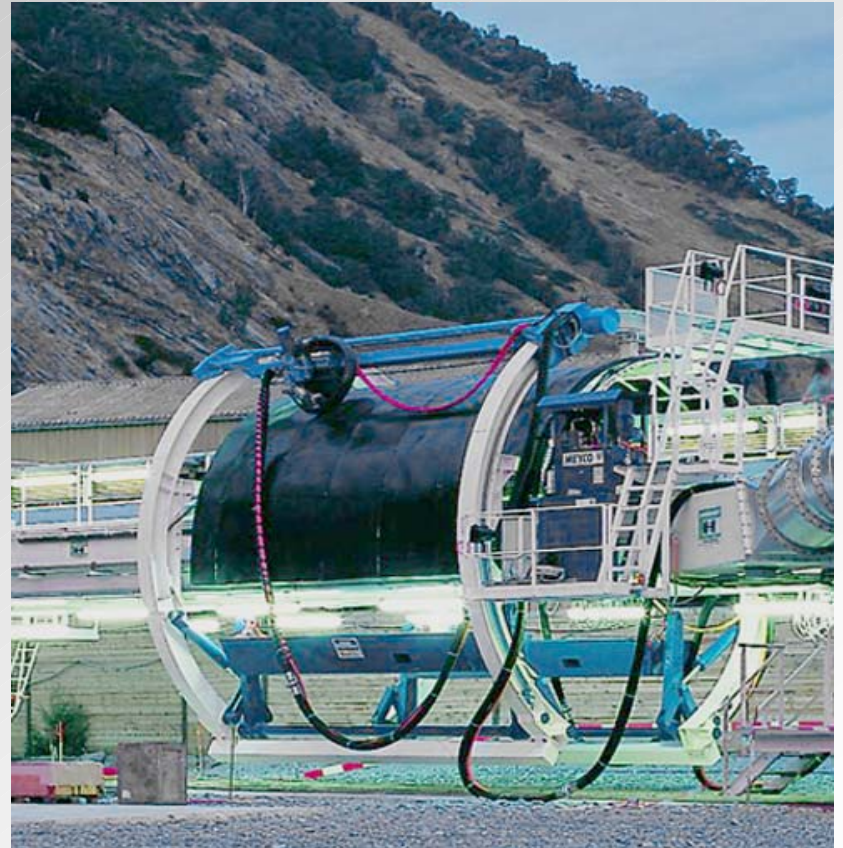
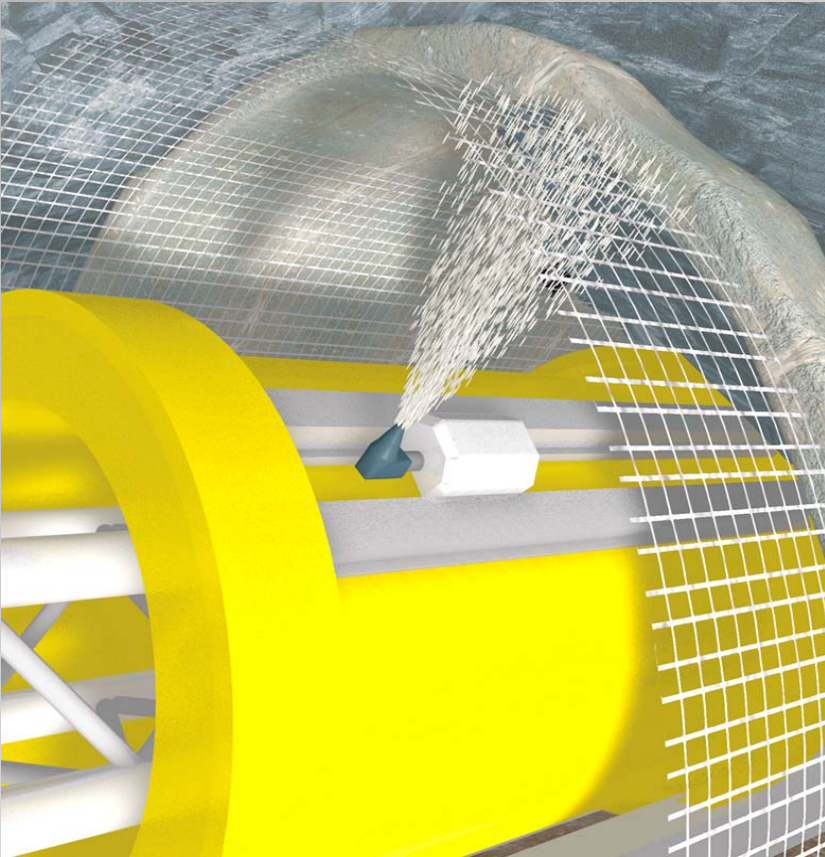
## Shotcrete behind hard rock TBMs



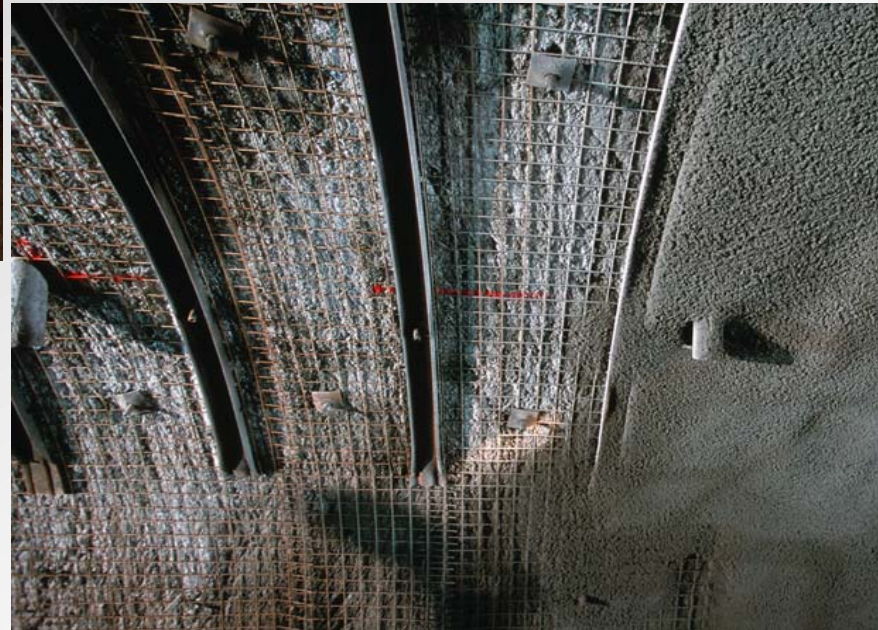
- Shotcrete should be used in the back part of the trailer (>60m from face)



## Shotcrete behind hard rock TBMs



## Shotcrete behind hard rock TBMs





## **Advantages of concrete segmental rings:**

- After leaving the TBM tail and grouting, the segmental ring can take the final loads. No hardening time is necessary.
- The constant quality of the concrete can be easily tested in the segment factory.
- Ring erection is done by the help of machines, is done in short time (20 to 40 minutes per ring) and has a high quality
- Each ring is positioned with a high precision in the shield tail
- The ground is stabilized by the ring and may not fall down

## **Advantages of the single shell segmental lining**

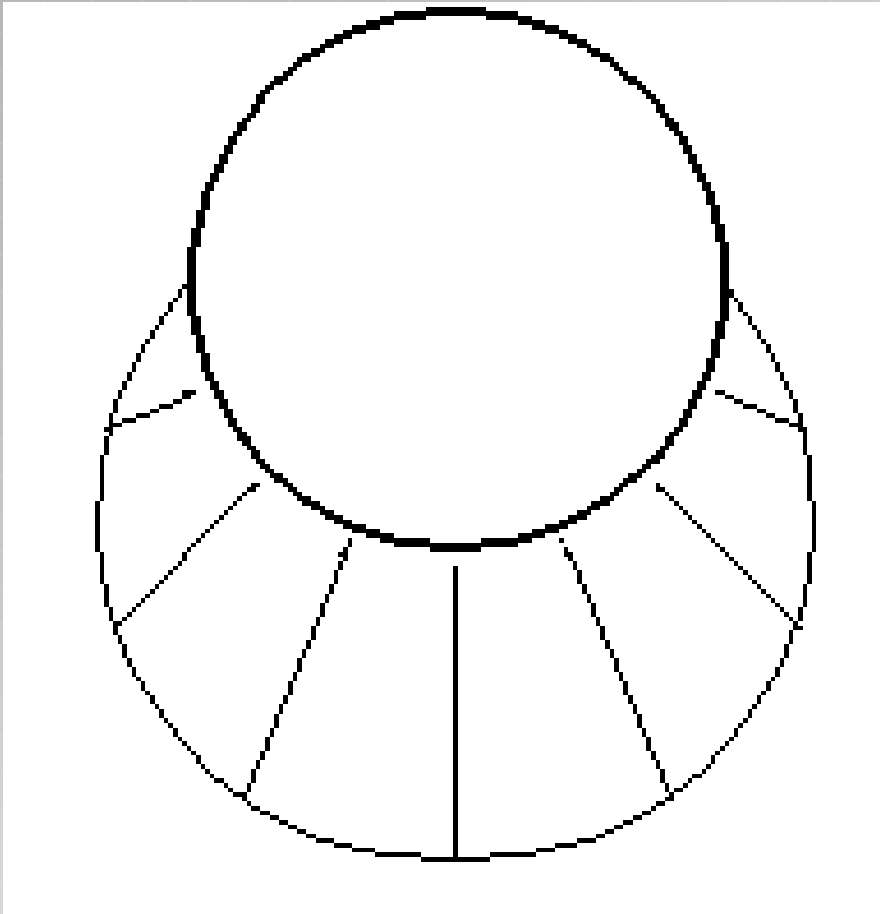
- High quality of the precast segments
- High load capacity shortly after ring erection
- Easy detection of leakages and easy repair work
- Lower costs than for a double shell lining (no inner lining)
- Real loads on inner lining are not clear



### *Special loads after end of advance*

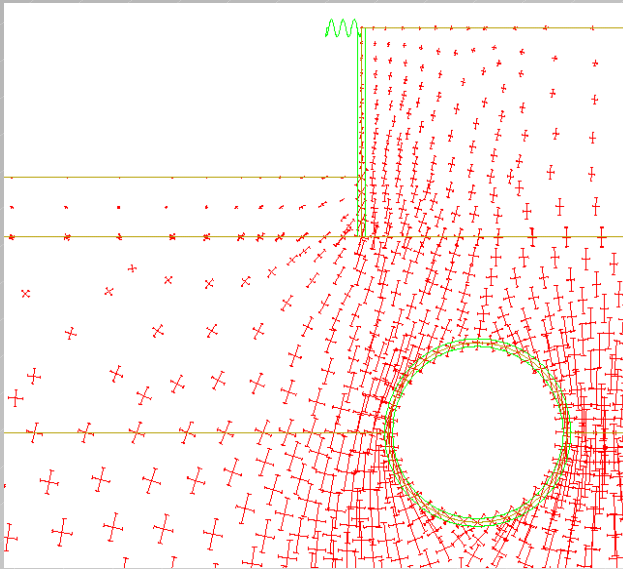
- Exterior loads who appear later (swelling pressure, later constructions near the tunnel)
- Relaxation over or aside of the tunnel (later pits)
- Two near running tunnels
- High outer water pressure
- Small distance between parallel tunnels
- Possible high water pressure around the tunnel (>15 bar)

*Special loads which may occur after end of advance*

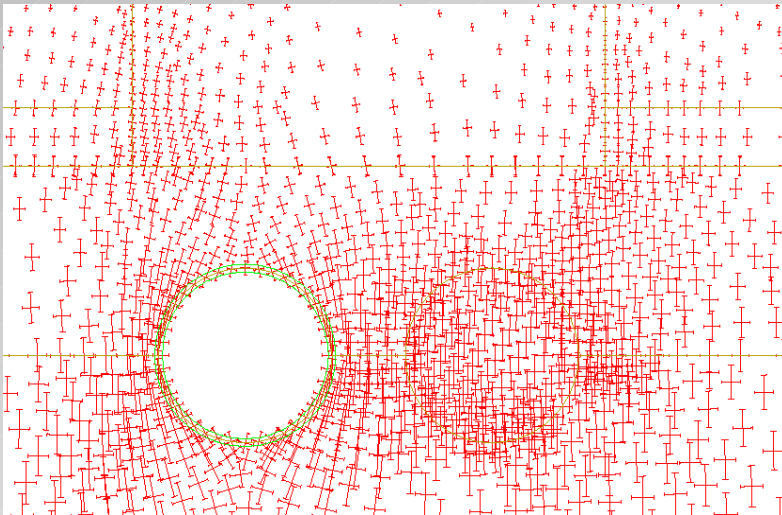


Swelling pressure  
(anhydrite, clay)

## *Unfavourable loads on a single shell lining*



Deep pits over / aside a tunnel →  
normal forces are reduced, moments get  
higher



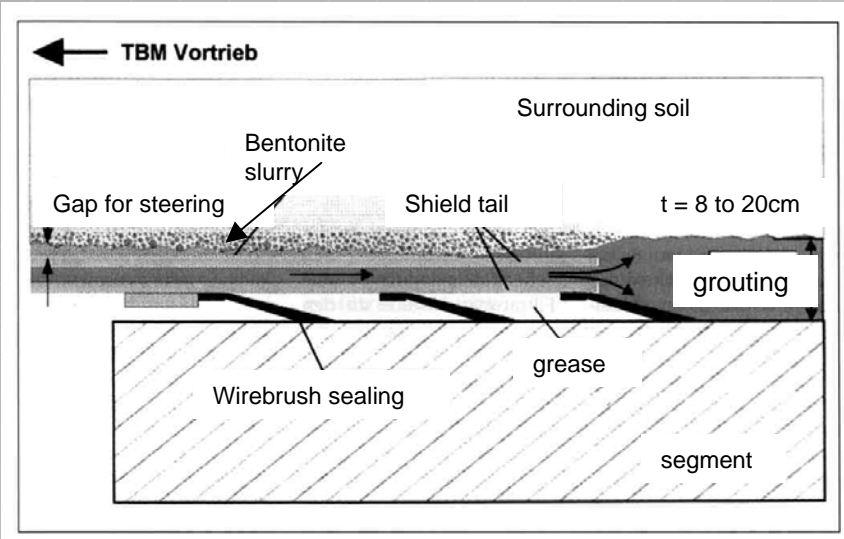
Parallel tunnels with small distance  
between both tubes ( $< 1/2 D$ )

Insufficient bedding of first tunnel when  
second machine passes

*Following loads are important for the quality of the rings:*

- Ram forces
- Loads from trailer rolls
- Loads resulting from squeezing in the tail sealing
- Stresses induced by grouting of the annular space

# Special loads



# Segmental ring Design

Segmental rings are used from 2 to 16m outer diameter

Rings normally consist of 5 to 8 segments

Continuous longitudinal joints in Europe only usual if inner lining is used (German railway standard RiL853 demands staggered joints for single shell lining)

Possible problems if continuous longitudinal joints are used:

- Small faults during ring erection add over several rings to big steps
- If the longitudinal joints of adjacent rings do not fit exactly together, scaling and damages may not be avoided
- To equalize steps in the circumferential joints packers must be installed from time to time



## Segmental ring design

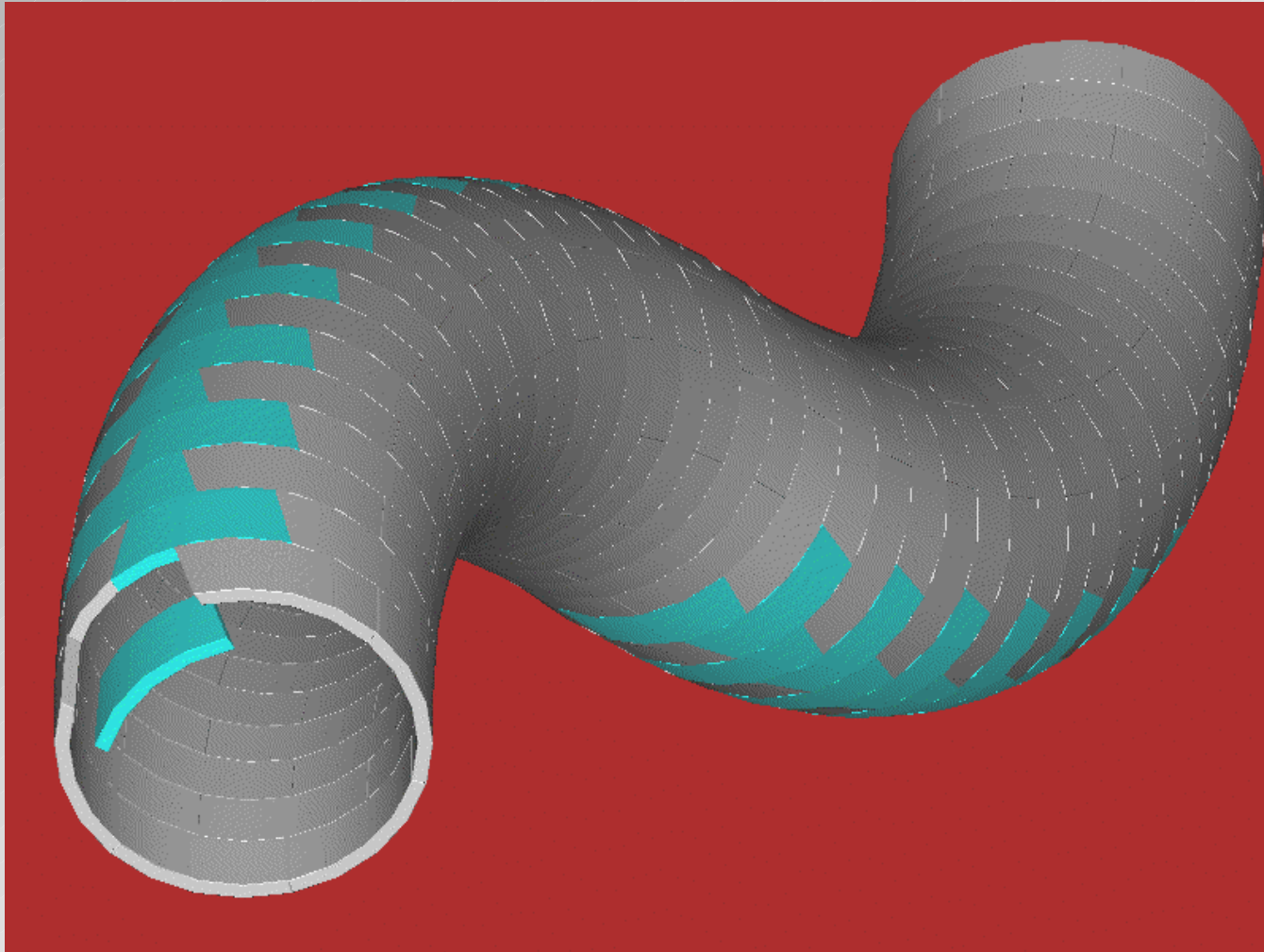
Continuous  
longitudinal joints



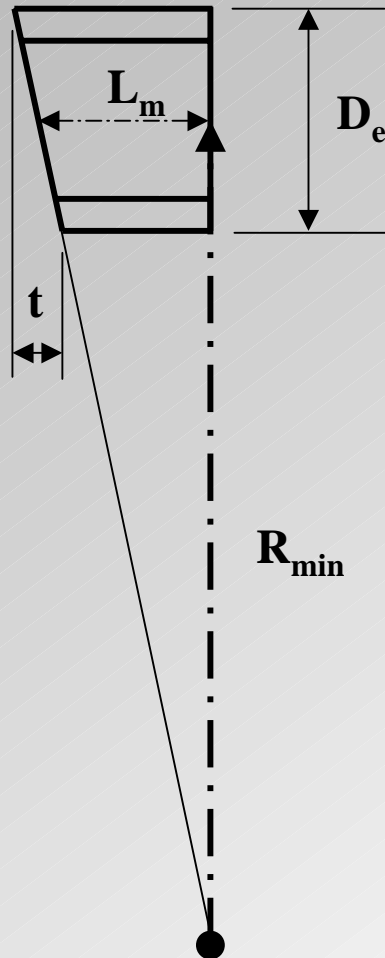
Staggered longitudinal  
joints



# Tapered Rings



# Tapered Rings



$$t = \frac{D_e \times L_m}{R_{min}}$$

## Example: Calculation of taper

$$l_m = 2,0 \text{ m}$$

$$D_e = 8,2 \text{ m}$$

$$R_{DTA} = 300 \text{ m}$$

**30° staggered longitudinal joints**

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**30° staggered longitudinal joints**

**correction curve radius:  $R_{\min} = 240\text{m}$  ( $R_{DTA} - \sim 20\%$ )**

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**30° staggered longitudinal joints**

**correction curve radius:  $R_{min} = 240\text{m}$  ( $R_{DTA} - \sim 20\%$ )**

$$\rightarrow t'' = l \times D_a / R_{min} = 2,0 * 8,2 / 240 = 0,068 \text{ m} = 68 \text{ mm}$$

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$$\rightarrow t' = t'' / [(1 + \cos 30^\circ) * 0,5] = 73 \text{ mm}$$

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$$\rightarrow t = t' + 5 \text{ mm} = 78 \text{ mm}$$



## Example: Calculation of taper

$$l_m = 2,0 \text{ m}$$

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**30° staggered longitudinal joints**

**correction curve radius:  $R_{\min} = 240\text{m}$  ( $R_{DTA} - \sim 20\%$ )**

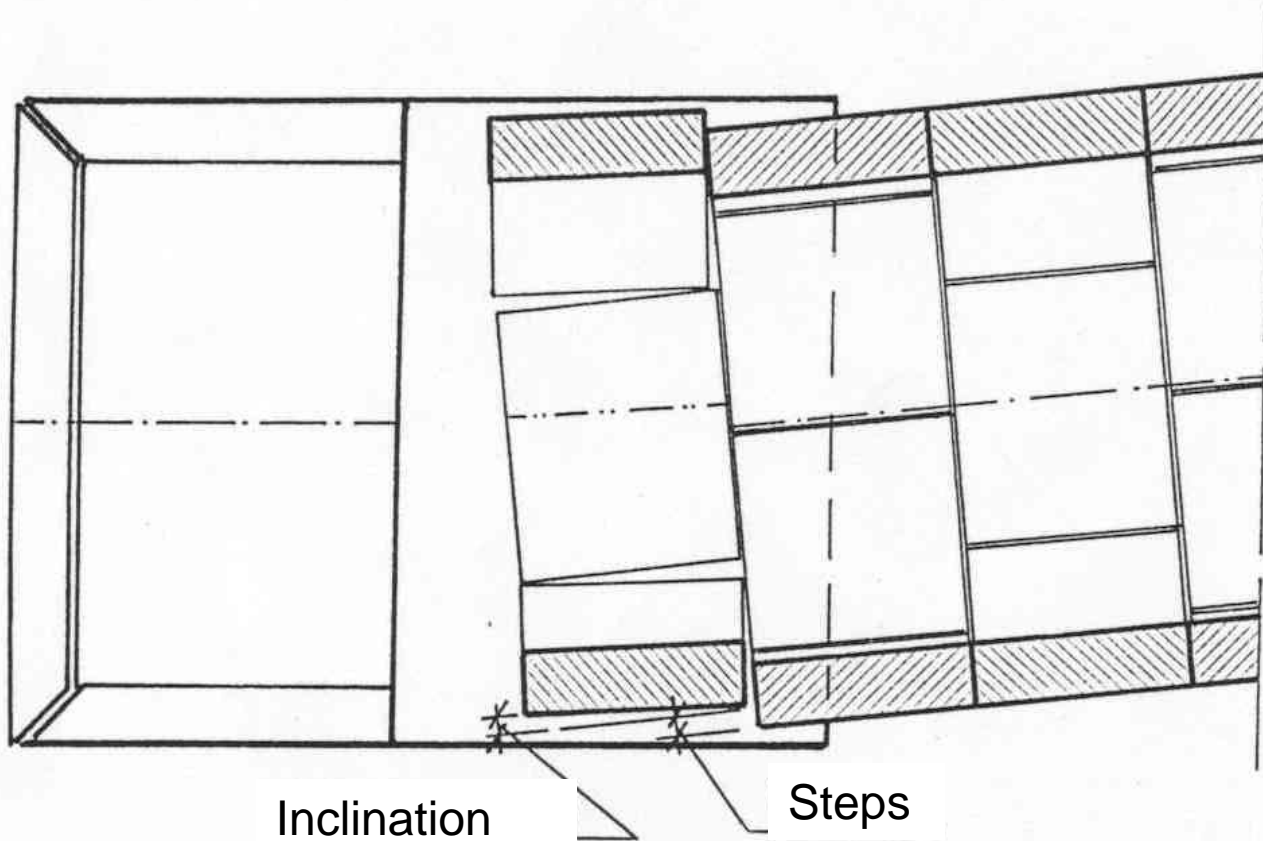
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$$\rightarrow t' = t'' / [(1 + \cos 30^\circ) * 0,5] = 73 \text{ mm}$$

$$\rightarrow t = t' + 5 \text{ mm} = 78 \text{ mm}$$

**Chosen: 80 mm**

# Constraints during ring erection



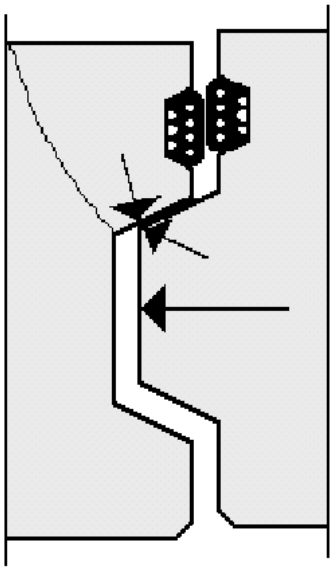
## Design of joints



Cams in circumferential joints



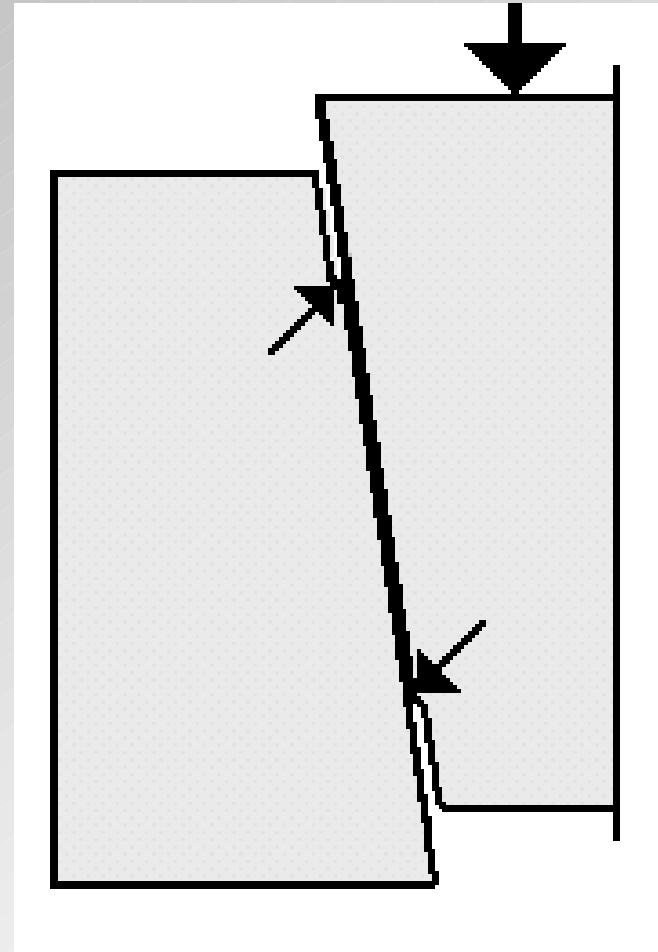
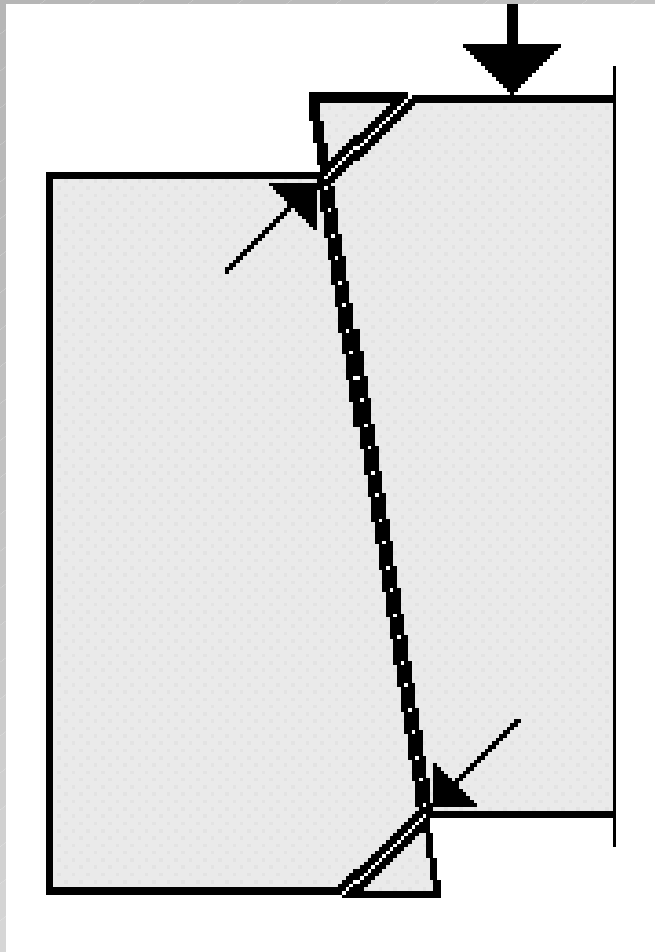
# Design of joints



Damages when using cams in circumferential joints

## Recesses in the segment corners

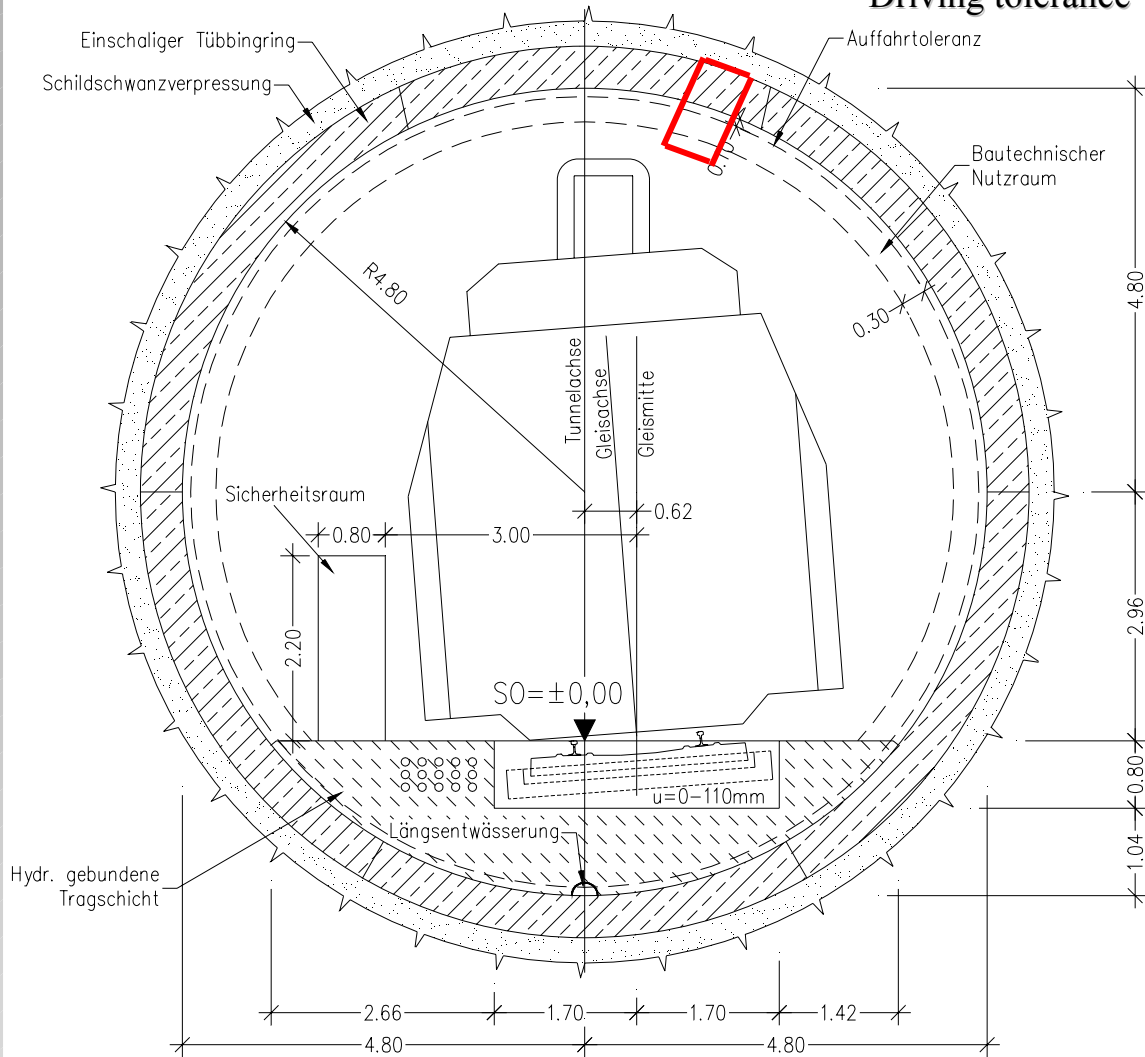




Recesses in the longitudinal joints – no touch of segments in the corner areas during ring erection



## Driving tolerance

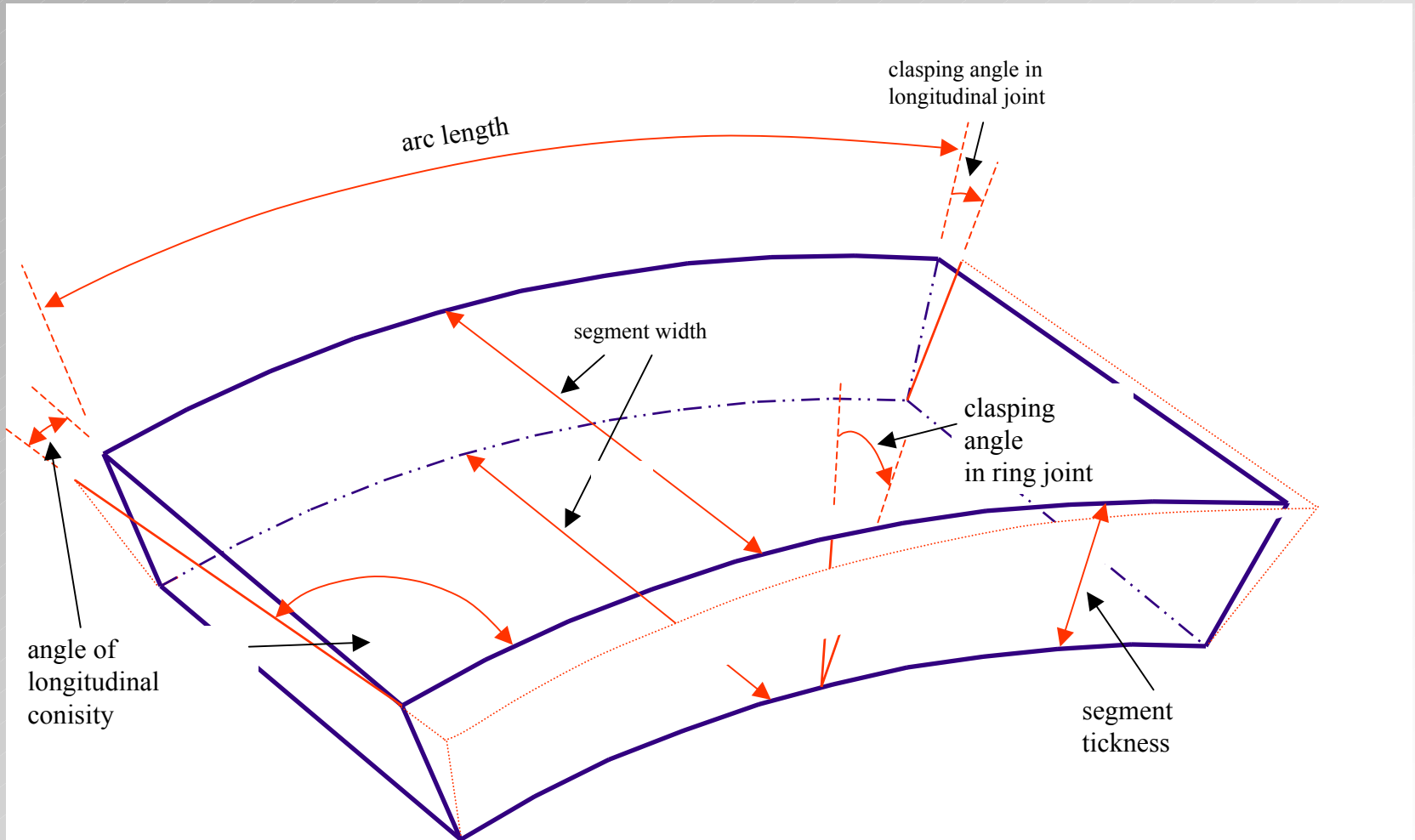


## Driving tolerance

*Tolerances*

## Tolerances according DB AG RiL 853:

1.	Angles:	
1.1	Clasping angle in longitudinal joint	$\pm 0,04^\circ$
1.2	Angle of longitudinal joint conicity	$\pm 0,01^\circ$
2.	Linear Values:	
2.1	Width of segment	$\pm 0,5 \text{ mm}$
2.2	Thickness of segment	$\pm 2,0 \text{ mm}$
2.3	Arc length of segment	$\pm 0,6 \text{ mm}$
2.4	Inner radius (one segment)	$\pm 1,5 \text{ mm}$
2.5	Width of gasket groove	$\pm 0,2 \text{ mm}$
3.	Plane and parallelness of contact zones:	
3.1	Longitudinal joint	$\pm 0,3 \text{ mm}$
3.2	Circumferential joint	$\pm 0,3 \text{ mm}$
4.	Details:	
4.1	Axis of gasket	$\pm 1,0 \text{ mm}$
4.2	Axis of contact zone	$\pm 1,0 \text{ mm}$
4.3	Plane of bolts	$\pm 1,0 \text{ mm}$
5.	Tolerances of an erected ring:	
5.1	Outer diameter	$\pm 10 \text{ mm}$
5.2	Inner diameter	$\pm 10 \text{ mm}$
5.3	Outer circumference (measured in 3 levels)	$\pm 30 \text{ mm}$



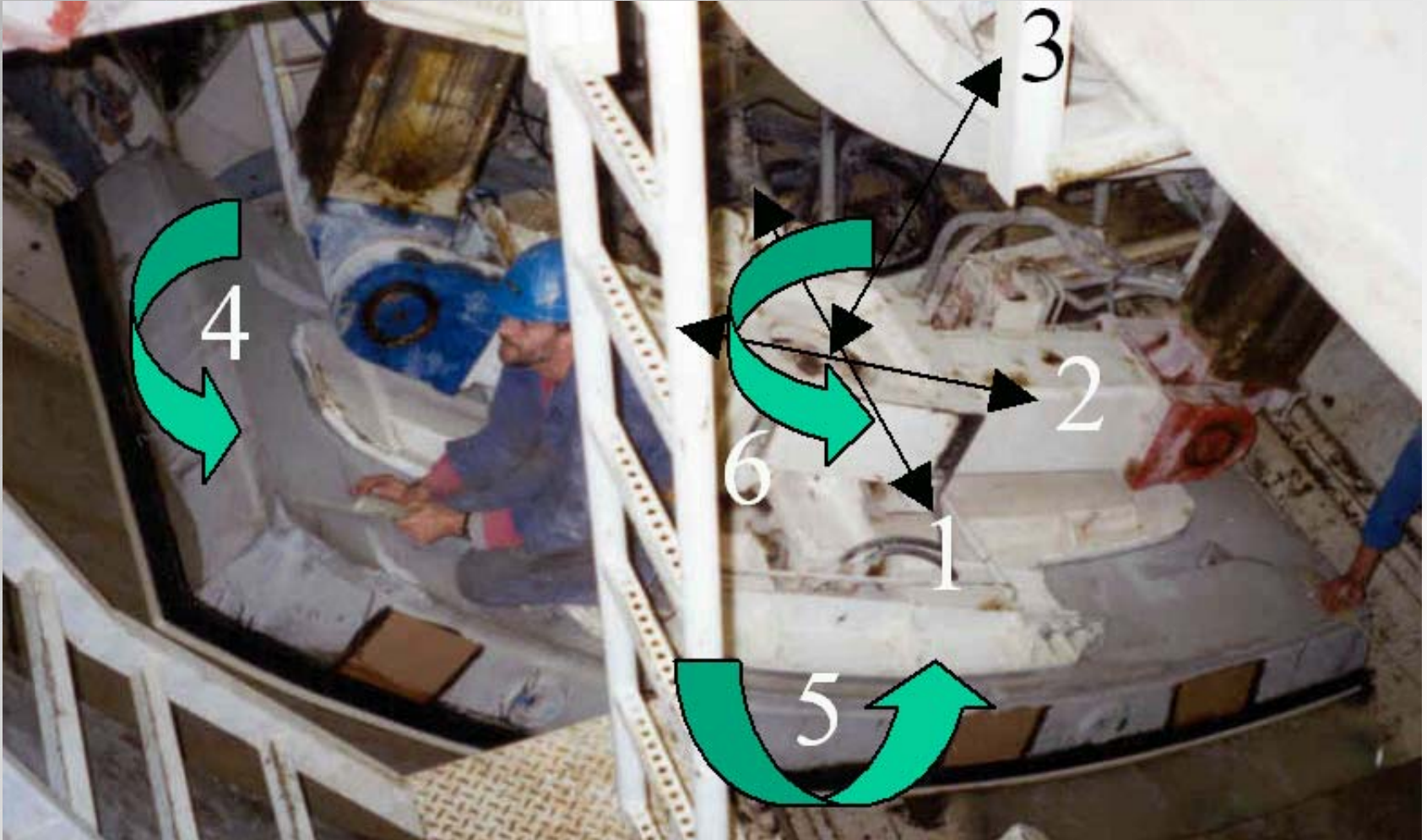
## Fabrication tolerances

*Ring erection with mobile  
erector control panel*





*Necessary active movements for ring assembly*



Thank you for your attention